## BISHOP AND LAPLACIAN COMPARISON THEOREMS **ON SASAKIAN MANIFOLDS**

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ABSTRACT. We prove a Bishop volume comparison theorem and a Laplacian comparison theorem for a natural sub-Riemannian structure defined on Sasakian manifolds. This generalizes the earlier work in [6, 5, 1] for the three dimensional case.

#### 1. INTRODUCTION

Bishop volume comparison theorem and Laplacian comparison theorem are basic tools in Riemannian geometry and geometric analysis. In this paper, we prove an analogue for a natural sub-Riemannian structure defined on a Sasakian manifold.

Recall that a Sasakian manifold is a 2n + 1-dimensional manifold M equipped with the an almost contact structure  $(\mathbf{J}, \alpha_0, v_0)$  and a Riemannian metric  $\langle \cdot, \cdot \rangle$  satisfying certain compatibility conditions (see Section 3 for the definitions). The restriction of the Riemannian metric on the distribution  $\mathcal{D} := \ker \alpha_0$  defines a sub-Riemannian structure. Let  $B_x(R)$  be the sub-Riemannian ball of radius R centered at x and let  $\eta$  be the Riemannian volume form of the Riemannian metric  $\langle \cdot, \cdot \rangle$ . The Heisenberg group and the complex Hopf fibration are well-known Sasakian manifolds (see Section 7 for more detail). Their volume forms are denoted, respectively, by  $\eta_0$  and  $\eta_H$ . We also denote their sub-Riemannian balls by and  $B_0(R)$  and  $B_H(R)$ , respectively. The following Bishop type volume comparison theorems generalize the earlier three dimensional case in [6, 5, 1].

**Theorem 1.1.** Assume that the Tanaka-Webster curvature  $Rm^*$  of the Sasakian manifold satisfies

- (1)  $\langle Rm^*(\boldsymbol{J}v, v)v, \boldsymbol{J}v \rangle \geq 0,$ (2)  $\sum_{i=1}^{2n-2} \langle Rm^*(w_i, v)v, w_i \rangle \geq 0,$

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where v is any vector in  $\mathcal{D}$  and  $w_1, ..., w_{2n-2}$  in an orthonormal frame of  $\{v_0, v, \mathbf{J}v\}^{\perp}$ . Then

$$\eta(B_x(R)) \le \eta_0(B_0(R)).$$

Moreover, equality holds only if

(1) 
$$\langle Rm^*(\boldsymbol{J}v, v)v, \boldsymbol{J}v \rangle = 0,$$
  
(2)  $\sum_{i=1}^{2n-2} \langle Rm^*(w_i, v)v, w_i \rangle = 0,$   
on  $B_x(R).$ 

**Theorem 1.2.** Assume that the Tanaka-Webster curvature  $Rm^*$  of the Sasakian manifold satisfies

(1) 
$$\langle Rm^*(\boldsymbol{J}v, v)v, \boldsymbol{J}v \rangle \geq 4|v|^4,$$
  
(2)  $\sum_{i=1}^{2n-2} \langle Rm^*(w_i, v)v, w_i \rangle \geq (2n-2)|v|^2,$ 

where v is any vector in  $\mathcal{D}$  and  $w_1, ..., w_{2n-2}$  in an orthonormal frame of  $\{v_0, v, \mathbf{J}v\}^{\perp}$ . Then

$$\eta(B_x(R)) \le \eta_H(B_H(R))$$

Moreover, equality holds only if

(1)  $\langle Rm^*(\mathbf{J}v, v)v, \mathbf{J}v \rangle = 4|v|^4,$ (2)  $\sum_{i=1}^{2n-2} \langle Rm^*(w_i, v)v, w_i \rangle = (2n-2)|v|^2,$ on  $B_x(R).$ 

A Laplacian type comparison theorem generalizing the one in [1] also holds. Recall that sub-Laplacian  $\Delta_H$  is defined by

$$\Delta f = \sum_{i=1}^{2n} \left\langle \nabla_{v_i} \nabla f, v_i \right\rangle$$

where  $v_1, ..., v_{2n}$  is an orthonormal frame in  $\mathcal{D}$ .

**Theorem 1.3.** Let  $x_0$  be a point in M and let  $d(x) := d(x_0, x)$  be the sub-Riemannian distance from the point  $x_0$ . Assume that the Tanaka-Webster curvature  $Rm^*$  of the Sasakian manifold satisfies

(1)  $\langle Rm^*(\boldsymbol{J}v, v)v, \boldsymbol{J}v \rangle \geq k_1 |v|^4,$ (2)  $\sum_{i=1}^{2n-2} \langle Rm^*(w_i, v)v, w_i \rangle \geq (2n-2)k_2 |v|^2,$ 

for some constants  $k_1$  and  $k_2$ , where v is any vector in  $\mathcal{D}$  and  $w_1, ..., w_{2n-2}$ in an orthonormal frame of  $\{v_0, v, \mathbf{J}v\}^{\perp}$ . Then

 $\Delta_H d \le h(d, v_0(d)),$ 

where  $\mathfrak{k}_1(r,z) = z^2 + k_1 r^2$ ,  $\mathfrak{k}_2(r,z) = \frac{1}{4}z^2 + k_2 r^2$ , and

$$h(r,z) = \frac{\sqrt{\mathfrak{k}_1}(\sin(\sqrt{\mathfrak{k}_1} - \sqrt{\mathfrak{k}_1}\cos(\sqrt{\mathfrak{k}_1})))}{r(2 - 2\cos(\sqrt{\mathfrak{k}_1}) - \sqrt{\mathfrak{k}_1}\sin(\sqrt{\mathfrak{k}_1}))} + \frac{(2n-1)\sqrt{\mathfrak{k}_2}\cot(\sqrt{\mathfrak{k}_2})}{r}$$

$$h(r,z) = \frac{\sqrt{\mathfrak{k}_1}(\sqrt{\mathfrak{k}_1}\cosh(\sqrt{\mathfrak{k}_1})) - \sinh(\sqrt{\mathfrak{k}_1}))}{r(2 - 2\cosh(\sqrt{-\mathfrak{k}_1}) + \sqrt{-\mathfrak{k}_1}\sinh(\sqrt{-\mathfrak{k}_1}))} + \frac{(2n-1)\sqrt{\mathfrak{k}_2}\cot(\sqrt{\mathfrak{k}_2})}{r}$$

if  $\mathfrak{k}_1 \geq 0$  and  $\mathfrak{k}_2 \leq 0$ ,

if  $\mathfrak{k}_1 > 0$  and  $\mathfrak{k}_2 > 0$ .

$$h(r,z) = \frac{\sqrt{\mathfrak{k}_1}(\sin(\sqrt{\mathfrak{k}_1} - \sqrt{\mathfrak{k}_1}\cos(\sqrt{\mathfrak{k}_1})))}{r(2 - 2\cos(\sqrt{\mathfrak{k}_1}) - \sqrt{\mathfrak{k}_1}\sin(\sqrt{\mathfrak{k}_1}))} + \frac{(2n-1)\sqrt{\mathfrak{k}_2}\coth(\sqrt{\mathfrak{k}_2})}{r}$$

if 
$$\mathfrak{k}_1 \leq 0$$
 and  $\mathfrak{k}_2 \geq 0$ ,

$$h(r,z) = \frac{\sqrt{\mathfrak{k}_1}(\sqrt{\mathfrak{k}_1}\cosh(\sqrt{\mathfrak{k}_1})) - \sinh(\sqrt{\mathfrak{k}_1}))}{r(2 - 2\cosh(\sqrt{-\mathfrak{k}_1}) + \sqrt{-\mathfrak{k}_1}\sinh(\sqrt{-\mathfrak{k}_1}))} + \frac{(2n-1)\sqrt{\mathfrak{k}_2}\coth(\sqrt{\mathfrak{k}_2})}{r}$$

if  $\mathfrak{k}_1 \leq 0$  and  $\mathfrak{k}_2 \leq 0$ .

A version of Hessian comparison theorem as in [1] also hold. The proof is very similar to and simpler than that of Theorem 1.3. We omit the statement since it is rather lengthy.

The paper is organized as follows. In section 2, we recall the construction of the canonical frame introduced in [8]. In section 3, we recall the definition of Sasakian manifolds. We also recall the definition of parallel adapted frame introduced in [7] which simplifies the computation of the canonical frame, which is done in section 5. In section 6, we prove a first conjugate time estimate under the lower bounds on the Tanaka-Webster curvature. In section 7, we discuss the Heisenberg group, the complex Hopf fibration, and their sub-Riemannian cut locus. The volume estimate and the proof of Theorem 1.1 and 1.2 are done in section 8. Finally, section 9 is devoted to the proof of Theorem 1.3.

### 2. CANONICAL FRAMES AND CURVATURES OF A JACOBI CURVE

In this section, we recall how to construct canonical frames and define the curvature of a curve in Lagrangian Grassmannian. We will only do the construction in our simplified setting. For the most general discussion, see [8]. For completeness, we will also include the full proof of the results in our case.

Let  $t \mapsto J(t)$  be a curve in the Lagrangian Grassmannian of a symplectic vector space  $\mathfrak{V}$ . Let  $g_t^0$  be the bilinear form on J(t) defined by

$$g_t^0(e,e) = \omega(\dot{e}(t),e),$$

where  $e(\cdot)$  is any curve in J such that e(t) = e.

Assume that the curve J is monotone which means that  $g_t^0$  is nonnegative definite for each t. Let  $J^{-1}$ ,  $J^1$ , and  $J^2$  be defined by

$$J^{-2}(t) = \{e(t)|\dot{e}(t), \ddot{e}(t) \in J(t)\},\$$
  

$$J^{-1}(t) = \{e(t)|\dot{e}(t) \in J(t)\},\$$
  

$$J^{1}(t) = \mathbf{span}\{e(t), \dot{e}(t)|e(\cdot) \in J\} = (J^{-1})^{\angle}$$
  

$$J^{2}(t) = \mathbf{span}\{e(t), \dot{e}(t), \ddot{e}(t)|e(\cdot) \in J\} = (J^{-2})^{\angle}$$

where the superscript  $W^{\angle}$  denotes the symplectic complement of the subspace W.

We will consider the case  $J^1 \neq \mathfrak{V}$  and  $J^2 = \mathfrak{V}$ . Assume that J and  $J^{-1}$  have dimensions N and k, respectively.

**Theorem 2.1.** [8] Under the above assumptions, there exists a family of frames  $E^1(t) = (E_1^1(t), ..., E_k^1(t))^T$ ,  $E^2(t) = (E_1^2(t), ..., E_k^2(t))^T$ ,  $E^3(t) = (E_1^3(t), ..., E_{N-2k}^3(t))^T$ ,  $F^1(t) = (F_1^1(t), ..., F_k^1(t))^T$ ,  $F^2(t) = (F_1^2(t), ..., F_k^2(t))^T$ ,  $F^3(t) = (F_1^3(t), ..., F_{N-2k}^3(t))^T$  such that

- (1)  $E(t) = (E^{1}(t), E^{2}(t), E^{3}(t))^{T}, F(t) = (F^{1}(t), F^{2}(t), F^{3}(t))^{T}$  is a symplectic basis for each t,
- (2)  $E^{1}(t)$  is a basis of  $J^{-1}(t)$ ,

(3) 
$$\dot{E}(t) = C_1 E(t) + C_2 F(t), \quad \dot{F}(t) = -R(t)E(t) - C_1^T F(t),$$

where

$$C_{1} = \begin{pmatrix} 0 & I & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, C_{2} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & I & 0 \\ 0 & 0 & I \end{pmatrix},$$
$$R(t) = \begin{pmatrix} R^{11}(t) & 0 & R^{13}(t) \\ 0 & R^{22}(t) & R^{23}(t) \\ R^{31}(t) & R^{32}(t) & R^{33}(t) \end{pmatrix},$$

and R(t) is symmetric.

The frame  $(E^1, E^2, E^3, F^1, F^2, F^3)$  is called a canonical frame of the curve J and the coefficients  $R^{ij}$  are the curvatures of the curve J. We also write the above equations as

(2.1)  

$$\dot{E}^{1}(t) = E^{2}(t), \quad \dot{E}^{2}(t) = F^{2}(t), \quad \dot{E}^{3}(t) = F^{3}(t), \\
\dot{F}^{1}(t) = -R^{11}(t)E^{1}(t) - R^{13}(t)E^{3}(t), \\
\dot{F}^{2}(t) = -R^{22}(t)E^{2}(t) - R^{23}(t)E^{3}(t) - F^{1}(t), \\
\dot{F}^{3}(t) = -R^{31}(t)E^{1}(t) - R^{32}(t)E^{2}(t) - R^{33}(t)E^{3}(t).$$

*Proof.* Let  $g_t^1$  be the bilinear form on  $J^{-1}(t)$  defined by  $q_t^1(e, e) = \omega(\ddot{e}(t), \dot{e}(t)),$ 

where  $e(\cdot)$  is any curve in  $J^{-1}$  such that e(t) = e.

The bilinear form  $g_t^1$  is well-defined. Indeed, let  $e_1(\cdot)$  and  $e_2(\cdot)$  be two curves in  $J^{-1}(\cdot)$  such that  $e_1(t) = e_2(t)$ . Let  $e_3(\cdot)$  be a curve in  $J^1$ . Since  $J^{-1}$  is the skew-orthogonal complement of  $J^1$ , we have

$$\omega(e_1(s) - e_2(s), e_3(s)) = 0.$$

By differentiating the above expression, we have

$$\omega(\dot{e}_1(t) - \dot{e}_2(t), e_3(t)) = 0.$$

Since  $e_3(t)$  is arbitrary, we see that  $\dot{e}_1(t) - \dot{e}_2(t)$  is contained in  $J^{-1}(t)$ . On the other hand, since  $\dot{e}_1(s)$  and  $\dot{e}_2(s)$  are contained in J(s) and J(s) is Lagrangian, we have

$$\omega(\dot{e}_1(s) - \dot{e}_2(s), \dot{e}_1(s)) = 0.$$

Since  $\ddot{e}_1(s)$  and  $\ddot{e}_2(s)$  are contained in  $J^1(s)$ , we have, by differentiating the above expression,

$$\omega(\ddot{e}_1(t), \dot{e}_1(t)) = \omega(\ddot{e}_2(t), \dot{e}_1(t)) = \omega(\ddot{e}_2(t), \dot{e}_2(t))$$

and  $g_t^1$  is well-defined.

Next, we claim that  $g_t^1$  is an inner product and there exists a family of basis  $E^1(\cdot) = (E_1^1(\cdot), ..., E_k^1(\cdot))^T$  along  $J^{-1}(\cdot)$  which is orthonormal with respect to  $g^1$  such that

$$\omega(\ddot{E}^1, \ddot{E}^1) = 0.$$

Here if  $E = (E_1, ..., E_k)$  and  $F = (F_1, ..., F_k)$  are two vectors, then  $\omega(E, F)$  denotes the matrix with *ij*-th entry equal to  $\omega(E_i, F_j)$ .

Moreover, the family  $E^1(\cdot)$  is unique up to multiplication by an orthogonal matrix (independent of time t). Indeed let  $\overline{E}(\cdot)$  be a family of basis in  $J^{-1}(\cdot)$ . Since  $J^{-2} = (J^2)^{\angle} = \{0\}, \ \overline{E}(t)$  is not in  $J^{-1}(t)$  which is the kernel of  $g_t^0$ . Therefore,

$$g_t^1(\bar{E},\bar{E}) = g_t^0(\bar{E},\bar{E})$$

is positive definite.

Let  $\overline{E}^1 = (\overline{E}_1^1, ..., \overline{E}_k^1)^T$  be a family of curves in  $J^{-1}$  such that  $(\overline{E}_1^1(t), ..., \overline{E}_k^1(t))^T$ 

is an orthonormal basis of  $J^{-1}$  with respect to  $g_t^1$ . Then any other such family is given by  $E(t) = O(t)\overline{E}(t)$ . Therefore,

$$\omega \left( \frac{d^2}{dt^2} (OE^1), \frac{d^2}{dt^2} (OE^1) \right) = \omega \left( 2\dot{O}\dot{E}^1 + O\ddot{E}^1, 2\dot{O}\dot{E}^1 + O\ddot{E}^1 \right)$$
  
=  $-2\dot{O}O^T + 2O\dot{O}^T + O\omega(\ddot{E}^1, \ddot{E}^1)O^T$   
=  $-4\dot{O}O^T + O\omega(\ddot{E}^1, \ddot{E}^1)O^T.$ 

Here, the first equality holds since  $E^1(t)$  is contained in  $J^{-1}(t)$  and  $\dot{E}^1(t), \ddot{E}^1(t)$  are contained in  $J^1(t)$ . The second equality holds since  $\omega(\ddot{E}^1(t), \dot{E}^1(t)) = g_t^1(E^1(t), E^1(t)) = I$  and  $\dot{E}^1(t)$  is in J(t). The last equality holds since O(t) is orthogonal.

It follows that  $E^1$  satisfies  $\omega(\ddot{E}^1, \ddot{E}^1) = 0$  if and only if O is a solution to the equation

$$\dot{O} = \frac{1}{4} O\omega(\ddot{E}^1, \ddot{E}^1).$$

This finishes the construction of  $E^1(t)$ .

Let  $E^2(t) = \dot{E}^1(t)$  and let  $F^2(t) = \dot{E}^2(t)$ . By construction, we have  $\omega(F^2(t), E^2(t)) = g_t^1(E^1(t), E^1(t)) = I$ . Since J(t) is Lagrangian,  $\omega(E^1(t), E^2(t)) = 0$ . Since  $F^2(t)$  is contained in  $J^1(t)$  and  $E^1(t)$  is contained in  $J^{-1}(t), \omega(F^2(t), E^1(t)) = 0$ . By construction, we also have  $\omega(F^2(t), F^2(t)) = \omega(\ddot{E}^1(t), \ddot{E}^1(t)) = 0$ . Next, we complete  $E^1(t), E^2(t)$  to a basis of J(t) by adding  $E^3(t)$ . Moreover, we can assume that  $E^3(t)$  satisfies the conditions  $g_t^0(E^3(t), E^3(t)) = I$ ,  $\omega(E^3(t), E^1(t)) = \omega(E^3(t), E^2(t)) = 0$ ,  $\omega(E^3(t), F^2(t)) = 0$ , and  $\omega(E^3(t), \dot{F}^2(t)) = 0$ . Indeed, let us complete  $E^1$ ,  $E^2$  to a basis of J by adding  $\bar{E}^3$ . Let  $E^3$  be

$$E^{3}(t) = O_{3}(t)(\bar{E}^{3}(t) - \omega(\bar{E}^{3}(t), F^{2}(t))E^{2}(t) - \omega(\bar{E}^{3}(t), \dot{F}^{2}(t))E^{1}(t)).$$

Clearly, we have  $\omega(E^3(t), E^1(t)) = \omega(E^3(t), E^2(t)) = \omega(E^3(t), F^2(t)) = 0$ . We also have

$$\begin{split} &\omega(E^{3}(t), \dot{F}^{2}(t)) \\ &= O_{3}(t)\omega(\bar{E}^{3}(t), \dot{F}^{2}(t)) - O_{3}(t)\omega(\bar{E}^{3}(t), F^{2}(t))\omega(E^{2}(t), \dot{F}^{2}(t)) \\ &+ O_{3}(t)\omega(\bar{E}^{3}(t), \dot{F}^{2}(t))\omega(E^{2}(t), F^{2}(t)) \\ &= -\omega(\bar{E}^{3}(t), F^{2}(t))\omega(E^{2}(t), \dot{F}^{2}(t)) \\ &= \omega(\bar{E}^{3}(t), F^{2}(t))\omega(\ddot{E}^{1}(t), \ddot{E}^{1}(t)) = 0 \end{split}$$

Finally since the kernel of the bilinear form  $g_t^0$  is  $J^{-1}$ , we also obtain

$$g_t^0(E^3(t), E^3(t)) = O_3(t)g_t^0(\bar{E}^3(t), \bar{E}^3(t))O_3(t)^T$$

Since  $g_t^0(\bar{E}^3(t), \bar{E}^3(t))$  is positive definite symmetric, we have

$$g_t^0(E^3(t), E^3(t)) = I$$

if we set  $O_3(t) = g_t^0(\bar{E}^3(t), \bar{E}^3(t))^{-1/2}$ .

Next, we show that  $E^3$  can be chosen such that  $\ddot{E}^3(t)$  is contained in J(t). Moreover any such  $E^3$  is unique up to multiplication by an orthogonal matrix (independent of time t). Indeed, let  $\bar{E}^3$  be a family defined above. Since  $\omega(\dot{E}^3(t), E^1(t)) = 0$  Then we have

$$\omega(\ddot{E}^{3}(t), E^{1}(t)) = -\omega(\dot{E}^{3}(t), E^{2}(t)) = \omega(\bar{E}^{3}(t), F^{2}(t)) = 0.$$

Similarly, since  $\omega(\dot{E}^3(t), E^2(t)) = 0$ , we also have

$$\begin{split} \omega(\ddot{E}^{3}(t), E^{2}(t)) &= -\omega(\dot{E}^{3}(t), F^{2}(t)) = \omega(\bar{E}^{3}(t), \dot{F}^{2}(t)) = 0.\\ \text{Let } E^{3}(t) &= O(t)\bar{E}^{3}(t). \text{ Then}\\ \omega(\ddot{E}^{3}(t), E^{3}(t)) &= \omega(\ddot{O}(t)\bar{E}^{3}(t) + 2\dot{O}(t)\dot{\bar{E}}^{3}(t) + O(t)\ddot{\bar{E}}^{3}(t), O(t)\bar{E}^{3}(t))\\ &= 2\dot{O}(t)O(t)^{T} + O(t)\omega(\ddot{\bar{E}}^{3}(t), \bar{E}^{3}(t))O(t)^{T}\\ &= 2\dot{O}(t)O(t)^{T} - O(t)\omega(\dot{\bar{E}}^{3}(t), \dot{\bar{E}}^{3}(t))O(t)^{T}. \end{split}$$

Therefore,  $E^3$  satisfies  $\omega(\dot{E}^3, E^3) = 0$  if and only if O is a solution of the equation  $\dot{O} = \frac{1}{2}O\omega(\dot{E}^3, \dot{E}^3)$ . This finishes the construction of  $E^3$ .

Let  $F^3(t) = \dot{E}^3(t)$ . We can complete  $E^1, E^2, E^3, F^2, F^3$  to a symplectic basis by adding  $F^1$ . Moreover, there is a unique such  $F^1$  satisfying  $\omega(\dot{F}^1(t), F^2(t)) = 0$ . Indeed, suppose we have two ways to complete  $E^1, E^2, E^3, F^2, F^3$  to a symplectic basis, say  $\bar{F}^1$  and  $F^1$ . Then  $F^1(t) = \bar{F}^1(t) + O(t)E^1(t)$  for some matrices O(t). But

$$\begin{split} \omega(\dot{F}^{1}(t),F^{2}(t)) &= \omega(\dot{F}^{1}(t) + \dot{O}(t)E^{1}(t) + O(t)E^{2}(t),F^{2}(t)) \\ &= \omega(\dot{F}^{1}(t),F^{2}(t)) - O(t). \end{split}$$

Therefore,  $\omega(\dot{F}^1(t), F^2(t)) = 0$  if and only if

$$O = \omega(\dot{\bar{F}}^1(t), F^2(t)).$$

#### 3. SASAKIAN MANIFOLDS AND PARALLEL ADAPTED FRAMES

In this section, we recall the definition of Sasakian manifolds and introduce the parallel adapted frames. For the part on Sasakian manifolds, we mainly follow [3]. Parallel adapted frames were introduced in [7]. It will be used to simplify some tedious calculations in a way very similar to the use of geodesic normal coordinates in Riemannian geometry.

Recall that a manifold M of dimension 2n + 1 has an almost contact structure  $(\mathbf{J}, v_0, \alpha_0)$  if  $\mathbf{J} : TM \to TM$  is a (1, 1) tensor,  $v_0$  is a vector field, and  $\alpha_0$  is a 1-form satisfying

$$\mathbf{J}^{2}(v) = -v + \alpha_{0}(v)v_{0}$$
 and  $\alpha_{0}(v_{0}) = 1$ 

for all tangent vector v in TM.

An almost contact structure is normal if the following tensor vanishes

 $(v, w) \mapsto [\mathbf{J}, \mathbf{J}](v, w) + d\alpha_0(v, w)v_0,$ 

where  $[\mathbf{J}, \mathbf{J}]$  is defined by

$$[\mathbf{J},\mathbf{J}](v,w) = \mathbf{J}^2[v,w] + [\mathbf{J}v,\mathbf{J}w] - \mathbf{J}[\mathbf{J}v,w] - \mathbf{J}[v,\mathbf{J}w].$$

A Riemannian metric  $\langle\cdot,\cdot\rangle$  is compatible with a given almost contact manifold if

$$\langle \mathbf{J}v, \mathbf{J}w \rangle = \langle v, w \rangle - \alpha_0(v)\alpha_0(w)$$

for all tangent vectors v and w in TM.

If, in addition, the Riemannian metric satisfies the condition

$$\langle v, \mathbf{J}w \rangle = d\alpha_0(v, w),$$

then we say that the metric is associated to the given almost contact structure.

Finally, a Sasakian manifold is a normal almost contact manifold with an associated Riemannian metric. The following results can be found in [3]. Since the sign conventions in [3] is different, we include the proof in the appendix.

**Theorem 3.1.** The followings hold on a Sasakian manifold  $(\mathbf{J}, v_0, \alpha_0, g = \langle \cdot, \cdot \rangle)$ 

(1) 
$$\mathcal{L}_{v_0}(\mathbf{J}) = 0,$$
  
(2)  $\nabla_{v_0} v_0 = 0,$   
(3)  $\mathcal{L}_{v_0} g = 0,$   
(4)  $\mathbf{J} = -2\nabla v_0,$ 

where  $\nabla$  denotes the Levi-Civita connection.

**Theorem 3.2.** An almost contact metric manifold  $(\mathbf{J}, v_0, \alpha_0, \langle \cdot, \cdot \rangle)$  is Sasakian if and only if it satisfies

$$(\nabla_v \mathbf{J})w = \frac{1}{2} \langle v, w \rangle v_0 - \frac{1}{2} \alpha_0(w) v$$

for all tangent vectors v and w.

Let Rm denotes the Riemann curvature tensor.

**Theorem 3.3.** Assume that the almost contact metric manifold  $(\mathbf{J}, v_0, \alpha_0, \langle \cdot, \cdot \rangle)$  is Sasakian. Then

$$Rm(X,Y)v_0 = \frac{1}{4}\alpha_0(Y)X - \frac{1}{4}\alpha_0(X)Y.$$

The Tanaka connection  $\nabla^*$  is defined by

$$\nabla_X^* Y = \nabla_X Y + \frac{1}{2}\alpha_0(X)\mathbf{J}Y - \alpha_0(Y)\nabla_X v_0 + \nabla_X \alpha_0(Y)v_0.$$

The corresponding curvature operator is denoted by Rm<sup>\*</sup> and we call it Tanaka-Webster curvature.

**Theorem 3.4.** Assume that the tangent vectors X, Y, and Z are contained in ker  $\alpha_0$ . Then

$$Rm^*(X,Y)Z = (Rm(X,Y)Z)^h + \langle Z, \nabla_Y v_0 \rangle \nabla_X v_0 - \langle Z, \nabla_X v_0 \rangle \nabla_Y v_0,$$

where the superscript  $X^h$  denotes the the component of X in ker  $\alpha_0$ . If the manifold is Sasakian, then

$$Rm^*(X,Y)Z = (Rm(X,Y)Z)^h + \frac{1}{4} \langle Z, JY \rangle JX - \frac{1}{4} \langle Z, JX \rangle JY.$$

Finally, we introduce the parallel adapted frames.

**Lemma 3.5.** Let  $v_0$  be a vector field in a Riemannian manifold M. Let  $\gamma : [0,T] \to M$  be a curve in the Riemannian manifold M and let  $v_0, ..., v_{2n}$  be an orthonormal frame at  $x := \gamma(0)$ . Then there is a orthonormal frame  $v_0(t) := v_0(\gamma(t)), v_1(t), ..., v_{2n}(t)$  such that

(1)  $v_i(0) = v_i$  and

(2)  $\dot{v}_i(t)$  is contained in  $\mathbb{R}v_0$  for each t,

where  $\dot{v}_i(t)$  denotes the covariant derivative of  $v(\cdot)$  along  $\gamma(\cdot)$  and i =1, ..., 2n.

The moving frame defined in Lemma 3.5 is called parallel adapted frame introduced in [7]. Using this frame, we obtain the following convenient local frame.

**Lemma 3.6.** Suppose that  $(\mathbf{J}, v_0, \alpha_0)$  defines an almost contact structure on M and let  $\langle \cdot, \cdot \rangle$  be an associated Riemannian metric. For each point x in M, there is orthonormal frame  $v_0, v_1, ..., v_{2n}$  defined in a neighborhood of x such that the following conditions hold at x.

(1) 
$$\nabla_{v_i} v_j = - \langle \nabla_{v_i} v_0, v_j \rangle v_0,$$
  
(2)  $\nabla_{v_i} v_0 = \sum_{j \neq 0} \langle \nabla_{v_i} v_0, v_j \rangle v_j,$   
(3)  $\nabla_{v_0} v_i = \nabla_{v_0} v_0 = 0,$ 

where i, j = 1, ..., 2n.

If, in addition, the manifold M together with  $(\mathbf{J}, v_0, \alpha_0)$  is Sasakian, then the followings hold at x.

- (1)  $\nabla_{v_i} v_j = \frac{1}{2} \langle \boldsymbol{J} v_i, v_j \rangle v_0,$
- (2)  $\nabla_{v_i} v_0 = -\frac{1}{2} J v_i,$ (3)  $\nabla_{v_0} v_i = \nabla_{v_0} v_0 = 0.$

The following will be useful for the later sections.

**Lemma 3.7.** Assume that  $(M, J, v_0, \alpha_0, \langle \cdot, \cdot \rangle)$  is Sasakian. Let  $v_0, v_1, ..., v_{2n}$ be a frame defined by Lemma 3.6, let  $J_{ij} = \langle Jv_i, v_j \rangle$ , and let  $\Gamma_{ij}^k =$  $\langle \nabla_{v_i} v_i, v_k \rangle$ . Then the following holds at x

- (1)  $\Gamma_{00}^{i} = \Gamma_{0i}^{0} = \Gamma_{i0}^{0} = 0,$ (2)  $\Gamma_{ij}^{0} = -\Gamma_{ji}^{0} = \frac{1}{2} J_{ij},$
- (3)  $v_k \boldsymbol{J}_{ij} = 0$  if  $i, j, k \neq 0$ ,
- (4)  $Rm(v_i, v_j)v_k = \sum_{s\neq 0}^{\prime} \left( (v_i \Gamma_{jk}^s) (v_j \Gamma_{ik}^s) \frac{1}{4} \boldsymbol{J}_{jk} \boldsymbol{J}_{is} + \frac{1}{4} \boldsymbol{J}_{ik} \boldsymbol{J}_{js} \right) v_s$ if  $i, j, k \neq 0.$

*Proof of Lemma 3.5.* Let  $w_0(t) := v_0(\gamma(t)), w_1(t), ..., w_n(t)$  be an orthonormal frame defined along  $\gamma(\cdot)$ . Let  $O(\cdot)$  be a family of  $2n \times 2n$ orthogonal matrices and let  $K_{ij} = \langle \dot{w}_i(t), w_j(t) \rangle$ , and let  $v_i(t) :=$  $\sum_{i=1}^{2n} O_{ij}(t) w_j(t)$ . By differentiating with respect to time t, we have

$$\langle \dot{v}_i(t), v_j(t) \rangle = \sum_{k,l} \left( \dot{O}_{ik}(t) + O_{il}(t) K_{lk}(t) \right) O_{jk}(t)$$

Therefore, by setting  $\dot{O}(t) + O(t)K(t) = 0$ , we have that  $\dot{v}_i$  is vertical. 

*Proof of Lemma 3.6.* We fix a neighborhood of x on which any point in it can be connected to x by a unique geodesic. We then define  $v_i$  to be the vector field on this neighborhood such that  $v_i(\gamma(t))$  is a parallel adapted frame along each geodesic  $\gamma(\cdot)$  with  $\gamma(0) = x$ . It follows immediately that  $\nabla_{v_k} v_i$  is vertical, where i = 1, ..., 2n and k = 0, ..., 2n. Therefore,

$$\nabla_{v_k} v_i = \langle \nabla_{v_k} v_i, v_0 \rangle v_0 = - \langle v_i, \nabla_{v_k} v_0 \rangle v_0.$$

If k = 0, then

$$0 = d\alpha_0(v_0, v_i) = -\alpha_0([v_0, v_i]) = \langle v_0, \nabla_{v_0} v_i \rangle - \langle v_0, \nabla_{v_i} v_0 \rangle.$$

Since  $|v_0| = 1$ , we also have

$$\langle v_0, \nabla_{v_0} v_i \rangle = \langle \nabla_{v_i} v_0, v_0 \rangle = 0$$

and hence  $\nabla_{v_0} v_i = 0$ .

It also follows that  $\langle \nabla_{v_0} v_0, v_i \rangle = - \langle v_0, \nabla_{v_0} v_i \rangle = 0$ . Therefore,  $\nabla_{v_0} v_0 = 0$ . The second part follows from  $\langle \nabla_{v_i} v_0, v_j \rangle = - \langle \mathbf{J} v_i, v_j \rangle$ for Sasakian manifolds.

Proof of Lemma 3.7. It is clear that  $\Gamma_{i0}^0 = 0$ . Since  $\nabla_{v_0} v_0 = 0$ ,

$$0 = \langle \nabla_{v_0} v_0, v_i \rangle = \Gamma^i_{00} = -\Gamma^0_{0i} = 0.$$

Since  $\mathcal{L}_{v_0}g = 0$ ,  $0 = \mathcal{L}_{v_0} g(v_i, v_j) = -\langle v_i, [v_0, v_j] \rangle - \langle [v_0, v_i], v_j \rangle = -\Gamma_{ii}^0 - \Gamma_{ij}^0$  Since the Riemannian metric is associated to the almost contact structure,

$$\mathbf{J}_{ji} = \langle v_i, \mathbf{J}v_j \rangle = d\alpha_0(v_i, v_j) = -\alpha_0([v_i, v_j]) = -(\Gamma^0_{ij} - \Gamma^0_{ji}) = 2\Gamma^0_{ji}.$$

The third relation follows from the property of the frame  $v_0, ..., v_{2n}$ and Theorem 3.2.

Finally, we have

$$\operatorname{Rm}(v_{i}, v_{j})v_{k} = \nabla_{v_{i}}\nabla_{v_{j}}v_{k} - \nabla_{v_{j}}\nabla_{v_{i}}v_{k} - \nabla_{[v_{i},v_{j}]}v_{k}$$

$$= \sum_{l}(v_{i}\Gamma_{jk}^{l})v_{l} + \sum_{l,s}\Gamma_{jk}^{l}\Gamma_{il}^{s}v_{s} - \sum_{l}(v_{j}\Gamma_{lk}^{l})v_{l}$$

$$- \sum_{l,s}\Gamma_{ik}^{l}\Gamma_{jl}^{s}v_{s} - \sum_{l,s}\Gamma_{ij}^{l}\Gamma_{lk}^{s}v_{s} + \sum_{l,s}\Gamma_{ji}^{l}\Gamma_{lk}^{s}v_{s}$$

$$= \sum_{s\neq0}\left((v_{i}\Gamma_{jk}^{s}) - (v_{j}\Gamma_{ik}^{s}) - \frac{1}{4}\mathbf{J}_{jk}\mathbf{J}_{is} + \frac{1}{4}\mathbf{J}_{ik}\mathbf{J}_{js}\right)v_{s}$$

#### 4. SUB-RIEMANNIAN GEODESIC FLOWS AND JACOBI CURVES

In this section, we give a quick review on some basic notions in sub-Riemannian geometry. In particular, we will introduce Jacobi curves corresponding to the sub-Riemannian geodesic flow and its induced geometric structures.

A sub-Riemannian manifold is a triple  $(M, \mathcal{D}, \langle \cdot, \cdot \rangle)$ , where M is a manifold of dimension  $n, \mathcal{D}$  is a distribution (sub-bundle of the tangent bundle TM), and  $\langle \cdot, \cdot \rangle$  is a sub-Riemannian metric (smoothly varying inner product defined on  $\mathcal{D}$ ). Assuming that the manifold M is connected and the distribution  $\mathcal{D}$  satisfies the Hörmander condition (the sections of  $\mathcal{D}$  and their iterated Lie brackets span each tangent space, also called "bracket-generating" condition). Then, by Chow-Rashevskii Theorem, any two given points on the manifold M can be connected by a horizontal curve (a curve which is almost everywhere tangent to  $\mathcal{D}$ ). Therefore, we can define the sub-Riemannian distance d as

(4.1) 
$$d(x_0, x_1) = \inf_{\gamma \in \Gamma} l(\gamma),$$

where the infimum is taken over the set  $\Gamma$  of all horizontal paths  $\gamma : [0,1] \to M$  satisfying  $\gamma(0) = x_0$  and  $\gamma(1) = x_1$ . The minimizers of (4.1) are called length minimizing geodesics (or simply geodesics). As in the Riemannian case, reparametrizations of a geodesic are also geodesics. Therefore, we assume that all geodesics have constant speed.

These constant speed geodesics are also minimizers of the kinetic energy functional

(4.2) 
$$\inf_{\gamma \in \Gamma} \int_0^1 \frac{1}{2} |\dot{\gamma}(t)|^2 dt,$$

where  $|\cdot|$  denotes the norm w.r.t. the sub-Riemannian metric.

Let  $H: T^*M \to \mathbb{R}$  be the Hamiltonian defined by the Legendre transform:

$$H(x,p) = \sup_{v \in \mathcal{D}} \left( p(v) - \frac{1}{2} |v|^2 \right)$$

and let

$$\vec{H} = \sum_{i=1}^{n} \left( H_{p_i} \partial_{x_i} - H_{x_i} \partial_{p_i} \right)$$

be the Hamiltonian vector field. Assume, through out this paper, that the vector field  $\vec{H}$  defines a complete flow which is denoted by  $e^{t\vec{H}}$ . The projections of the trajectories of  $e^{t\vec{H}}$  to the manifold M give minimizers of (4.2).

In this paper, we assume that the sub-Riemannian structure is given by a Sasakian manifold. More precisely, assume that the almost contact structure  $(\mathbf{J}, v_0, \alpha_0)$  together with the Riemannian structure  $\langle \cdot, \cdot \rangle$  form a Sasakian manifold. The distribution  $\mathcal{D}$  is given by  $\mathcal{D} = \ker \alpha_0$  and the sub-Riemannian metric is given by the restriction of the Riemannian metric to  $\mathcal{D}$ . In this case all minimizers of (4.2) are given by the projections of the trajectories of  $e^{t\vec{H}}$  (see [10] for more detail).

Next, we discuss a sub-Riemannian analogue of Jacobi fields. Let  $\omega$  be the symplectic form on the cotangent bundle  $T^*M$  defined in local coordinates  $(x_1, ..., x_{2n+1}, p_1, ..., p_{2n+1})$  by

$$\omega = \sum_{i=1}^{2n+1} dp_i \wedge dx_i.$$

Let  $\pi : T^*M \to M$  be the canonical projection and let  $\mathcal{V}$  be the vertical sub-bundle of the cotangent bundle  $T^*M$  defined by

$$\mathcal{V}_{(x,p)} = \{ v \in T_{(x,p)} T^* M | \pi_*(v) = 0 \}.$$

The family of Lagrangian subspaces

(4.3) 
$$\mathfrak{J}_{(x,p)}(t) := e_*^{-tH}(\mathcal{V}_{e^{t\vec{H}}(x,p)})$$

defined a curve in the Lagrangian Grassmannian of  $T_{(x,p)}T^*M$ , called the Jacobi curve at (x, p) of the flow  $e^{t\vec{H}}$ .

Assuming that the manifold is Sasakian. Then Theorem 2.1 applies and we let  $E^{1}(t), E^{2}(t), E^{3}(t), F^{1}(t), F^{2}(t), F^{3}(t)$  be a canonical frame of  $\mathfrak{J}_{(x,p)}$ . This defines a splitting of the vertical space  $\mathcal{V}_{(x,p)}$  and the cotangent space  $T_{(x,p)}T^*M$ . More precisely, let

$$\mathcal{V}_1 = \operatorname{span}\{E^1(0)\}, \quad \mathcal{V}_2 = \operatorname{span}\{E^2(0)\}, \quad \mathcal{V}_3 = \operatorname{span}\{E^3(0)\}$$
$$\mathcal{H}_1 = \operatorname{span}\{F^1(0)\}, \quad \mathcal{H}_2 = \operatorname{span}\{F^2(0)\}, \quad \mathcal{H}_3 = \operatorname{span}\{F^3(0)\}$$

Then  $\mathcal{V}_{(x,p)} = \mathcal{V}_1 \oplus \mathcal{V}_2 \oplus \mathcal{V}_3$  and  $T_{(x,p)}T^*M = \mathcal{V}_1 \oplus \mathcal{V}_2 \oplus \mathcal{V}_3 \oplus \mathcal{H}_1 \oplus \mathcal{H}_2 \oplus \mathcal{H}_3$ . Note that  $\mathcal{V}_1$ ,  $\mathcal{V}_2$ ,  $\mathcal{H}_1$ , and  $\mathcal{H}_2$  are all 1-dimensional.  $\mathcal{V}_3$  and  $\mathcal{H}_3$  are (2n-2)-dimensional. Let  $\alpha$  and h be, respectively, a 1-form and a function on  $T^*M$ . Let  $\vec{\alpha}$  and  $\vec{h}$  be the vector fields defined, respectively, by

$$\omega(\vec{\alpha}, \cdot) = -\alpha$$
 and  $\omega(\vec{h}, \cdot) = -dh$ .

**Theorem 4.1.** Let x be in M. The above splitting of the cotangent bundle is given by the followings

$$\begin{array}{l} (1) \ \mathcal{V}_{1} = span\{\vec{\alpha}_{0}\}, \\ (2) \ \mathcal{V}_{2} = span\{\sum_{k,l\neq 0}h_{k}\boldsymbol{J}_{kl}\vec{\alpha}_{l}\}, \\ (3) \ \mathcal{V}_{3} = span\{\sum_{b}a_{b}\vec{\alpha}_{b}|\sum_{j,k\neq 0}a_{k}h_{j}\boldsymbol{J}_{kj} = 0 \ and \ a_{0} = \frac{h_{0}}{2H}\sum_{k\neq 0}a_{k}h_{k}\}, \\ (4) \ \mathcal{H}_{1} = span\{2H\vec{h}_{0} - h_{0}\vec{H}\}, \\ (5) \ \mathcal{H}_{2} = span\{h_{0}\sum_{k\neq 0}h_{k}\vec{\alpha}_{k} - \sum_{j,k\neq 0}h_{j}\boldsymbol{J}_{jk}\vec{h}_{k} - H\vec{\alpha}_{0} \\ -\sum_{j,k,l\neq 0}h_{j}h_{l}\Gamma_{0l}^{k}\boldsymbol{J}_{jk}\vec{\alpha}_{0} - \sum_{j,k,l,s\neq 0}h_{j}h_{l}\boldsymbol{J}_{js}\Gamma_{kl}^{s}\vec{\alpha}_{k}\}, \\ (6) \ \mathcal{H}_{3} = \{\sum_{i\neq 0}a_{i}\vec{h}_{i} + \sum_{a}c_{a}\vec{\alpha}_{a}|\sum_{j,k\neq 0}a_{k}h_{j}\boldsymbol{J}_{kj} = 0, \\ a_{0} = \frac{h_{0}}{2H}\sum_{k\neq 0}a_{k}h_{k}, c_{0} = \sum_{i,j\neq 0}a_{i}h_{j}\Gamma_{0j}^{i}, \\ c_{k} = \sum_{j\neq 0}\left(\frac{1}{2}a_{j}\boldsymbol{J}_{jk}h_{0} - \frac{1}{2}a_{0}h_{j}\boldsymbol{J}_{jk} + \sum_{i\neq 0}a_{i}h_{j}\Gamma_{kj}^{i}\right)\}, \end{array}$$

where  $v_0, v_1, ..., v_{2n}$  is a local frame defined in a neighborhood of a point x by Lemma 3.6,  $J_{ij} = \langle Jv_i, v_j \rangle$ .

The vertical splitting can be written in a coordinate free way. For this, we identify the tangent bundle TM with the vertical bundle  $\mathcal{V}$ using the Riemannian metric via

$$v \in TM \to \alpha(\cdot) = \langle v, \cdot \rangle \in T^*M \to -\vec{\alpha} \in ver.$$

Under this identification, we have

**Theorem 4.2.** Let x be in M. The above splitting of the cotangent bundle is given by the followings

(1)  $\mathcal{V}_1 = \mathbb{R}v_0$ , (2)  $\mathcal{V}_2 = \mathbb{R}\boldsymbol{J}p^h$ , (3)  $\mathcal{V}_3 = \mathbb{R}(p^h + p(v_0)v_0) \oplus \{v | \langle v, p^h \rangle = \langle v, \boldsymbol{J}p^h \rangle = \langle v, v_0 \rangle = 0\}.$ (4)  $\pi_*\mathcal{H}_1 = \mathbb{R}(|p^h|^2v_0 - p(v_0)p^h)$ , (5)  $\pi_*\mathcal{H}_2 = \mathbb{R}\boldsymbol{J}p^h$ , (6)  $\pi_*\mathcal{H}_3 = \{X | \langle X, \boldsymbol{J}p^h \rangle = \langle X, v_0 \rangle = 0\},$  where  $p^h$  is the vector in ker  $\alpha_0$  defined by  $p(v) = \langle p^h, v \rangle$  and v ranges over vectors in ker  $\alpha_0$ .

Under the above identification, we can also define a volume form  $\mathfrak{m}$  on  $\mathcal{V}$  by  $\mathfrak{m}(v_0, ..., v_{2n}) = 1$ . The Riemannian volume on M is denoted by  $\eta$ . The proof of Theorem 4.1 also gives

**Theorem 4.3.** The volume forms  $\mathfrak{m}$  and  $\eta$  satisfy

- (1)  $\mathfrak{m}(E(0)) = \frac{1}{|p^h|},$
- (2)  $\eta(\pi_*F(0)) = |p^h|.$

Proof of Theorem 4.1. Let  $v_0, v_1, ..., v_{2n}$  be the local frame defined in a neighborhood of x by Lemma 3.6. Let  $\Gamma_{ab}^c$  and  $\mathbf{J}_{ij}$  be defined by

$$\nabla_{v_a} v_b = \Gamma^c_{ab} v_c \quad \text{and} \quad \mathbf{J}_{ij} = \langle \mathbf{J} v_i, v_j \rangle$$

respectively. From now on, we sum over repeated indices. The indices i, j, k, s, l ranges over 1, ..., 2n and a, b, c, d ranges over 0, ..., 2n.

It is clear that  $\Gamma_{ab}^c = -\Gamma_{ac}^b$  wherever it is defined. We also have  $\Gamma_{00}^i = \Gamma_{0i}^0 = \Gamma_{i0}^0 = 0$ . Indeed, since  $d\alpha_0(v_0, v_i) = 0$ , we have

$$0 = \alpha_0([v_0, v_i]) = \Gamma_{0i}^0 - \Gamma_{i0}^0 = \Gamma_{0i}^0 = -\Gamma_{00}^i.$$

Since  $\langle \mathbf{J}v_i, v_j \rangle = -2 \langle \nabla_{v_i} v_0, v_j \rangle$ , we have  $\mathbf{J}_{ij} = -2\Gamma_{i0}^j = 2\Gamma_{ij}^0$ . Let  $\alpha_0, ..., \alpha_{2n}$  be the dual frame of  $v_0, ..., v_{2n}$  and let  $h_i(x, p) = p(v_i)$ . Then  $\pi^* \alpha_0, ..., \pi^* \alpha_n, dh_0, ..., dh_n$  forms a local co-frame of the cotangent bundle. We will also denote  $\pi^* \alpha_i$  simply by  $\alpha_i$ .

The proof of the following two lemmas will be postponed to the appendix.

Lemma 4.4. The following relations hold.

- $\begin{array}{l} (1) \ \alpha_{a}(\vec{h}_{b}) = \delta_{ab}, \\ (2) \ [\vec{\alpha}_{a}, \vec{\alpha}_{b}] = 0, \\ (3) \ dh_{b}(\vec{h}_{c}) = \sum_{a} (\Gamma^{a}_{cb} \Gamma^{a}_{bc})h_{a}, \\ (4) \ [\vec{\alpha}_{a}, \vec{h}_{b}] = \sum_{c} (\Gamma^{a}_{bc} \Gamma^{a}_{cb})\vec{\alpha}_{c}, \\ (5) \ [\vec{H}, \vec{\alpha}_{i}] = \vec{h}_{i} + \sum_{j \neq 0,a} h_{j}(\Gamma^{i}_{aj} \Gamma^{i}_{ja})\vec{\alpha}_{a} \ if \ i \neq 0, \\ (6) \ [\vec{H}, \vec{\alpha}_{0}] = \sum_{j,k \neq 0} h_{j}(\Gamma^{0}_{kj} \Gamma^{0}_{jk})\vec{\alpha}_{k} = -\sum_{j,k \neq 0} h_{j}J_{jk}\vec{\alpha}_{k}, \\ (7) \ [\vec{H}, \vec{h}_{i}] = \sum_{k \neq 0} h_{k}[\vec{h}_{k}, \vec{h}_{i}] \sum_{k \neq 0,a} h_{a}(\Gamma^{a}_{ik} \Gamma^{a}_{ki})\vec{h}_{k}, \\ (8) \ [\vec{H}, [\vec{H}, \vec{\alpha}_{0}]] = h_{0}\sum_{k \neq 0} h_{k}\vec{\alpha}_{k} \sum_{k,j \neq 0} h_{j}J_{jk}\vec{h}_{k} \\ H\vec{\alpha}_{0} \sum_{j,l,k \neq 0} h_{j}h_{l}\Gamma^{k}_{0l}J_{jk}\vec{\alpha}_{0} \sum_{j,l,s,k \neq 0} h_{j}h_{l}J_{js}\Gamma^{s}_{kl}\vec{\alpha}_{k}, \\ (9) \ [\vec{H}, [\vec{H}, \vec{\alpha}_{i}]] = 2\sum_{l,k \neq 0} h_{l}\Gamma^{k}_{li}\vec{h}_{k} + \sum_{l \neq 0} h_{l}J_{li}\vec{h}_{0} \sum_{k \neq 0} h_{0}J_{ik}\vec{h}_{k} \\ (mod \ vertical) \ when \ i \neq 0, \end{array}$
- (10)  $[\vec{H}, [\vec{H}, [\vec{H}, \vec{\alpha}_0]]] = h_0 \vec{H} 2H \vec{h}_0 \pmod{\text{vertical}}.$

Here, the phrase "mod vertical" means the that the difference of the two vectors is contained in the vertical bundle  $\mathcal{V}$ .

The relations reduce to the following ones at x

**Lemma 4.5.** The following relations hold at x.

 $\begin{array}{ll} (1) \ dh_{j}(\vec{h}_{i}) = \boldsymbol{J}_{ij}h_{0} \ if \ i \neq 0 \neq j, \\ (2) \ dh_{j}(\vec{h}_{0}) = \frac{1}{2}\sum_{k\neq 0}\boldsymbol{J}_{jk}h_{k} \ if \ j \neq 0, \\ (3) \ [\vec{\alpha}_{i},\vec{h}_{j}] = \frac{1}{2}\boldsymbol{J}_{ij}\vec{\alpha}_{0} \ if \ i \neq 0 \neq j, \\ (4) \ [\vec{\alpha}_{i},\vec{h}_{0}] = \frac{1}{2}\sum_{k\neq 0}\boldsymbol{J}_{ki}\vec{\alpha}_{k} \ if \ i \neq 0, \\ (5) \ [\vec{\alpha}_{0},\vec{h}_{j}] = \sum_{k\neq 0}\boldsymbol{J}_{jk}\vec{\alpha}_{k} \ if \ j \neq 0, \\ (6) \ [\vec{H},\vec{\alpha}_{i}] = \vec{h}_{i} + \sum_{j\neq 0}h_{j}\boldsymbol{J}_{ji}\vec{\alpha}_{0} \ when \ i \neq 0, \\ (7) \ [\vec{H},\vec{\alpha}_{0}] = -\sum_{j,k\neq 0}h_{j}\boldsymbol{J}_{jk}\vec{\alpha}_{k}, \\ (8) \ [\vec{H},[\vec{H},\vec{\alpha}_{0}]] = h_{0}\sum_{k\neq 0}h_{k}\vec{\alpha}_{k} - \sum_{j,k\neq 0}h_{j}\boldsymbol{J}_{jk}\vec{h}_{k} - H\vec{\alpha}_{0}, \end{array}$ 

Now, we apply the above lemmas to prove the theorem. Since  $[\vec{H}, \vec{\alpha}_0]$  is vertical,  $\vec{\alpha}_0$  is in  $J^{-1}(0)$ . Therefore,  $\vec{\alpha}_0 = f E^1(0)$  for some function f on the cotangent bundle. It follows from Theorem 2.1 that

(1) 
$$fE^{2}(0) = [\vec{H}, \vec{\alpha}_{0}] - (\vec{H}f)E^{1}(0),$$
  
(2)  $fF^{2}(0) = [\vec{H}, [\vec{H}, \vec{\alpha}_{0}]] - (\vec{H}^{2}f)E^{1}(0) - 2(\vec{H}f)E^{2}(0),$   
(3)  $f\dot{F}^{2}(0) = [\vec{H}, [\vec{H}, [\vec{H}, \vec{\alpha}_{0}]]] - (\vec{H}^{3}f)E_{1} - 3(\vec{H}^{2}f)E_{2} - 3(\vec{H}f)F_{2}.$ 

By Lemma 4.5, we have

$$f^{2} = \omega(fF^{2}(0), fE^{2}(0)) = \sum_{i,l,j,k \neq 0} h_{i}h_{j}\mathbf{J}_{il}\mathbf{J}_{jk}\omega(\vec{h}_{l}, \vec{\alpha}_{k}) = 2H.$$

It follows from this and Lemma 4.4 that

(1)  $fE^{2}(0) = -\sum_{k,l\neq 0} h_{k} \mathbf{J}_{kl} \vec{\alpha}_{l},$ (2)  $fF^{2}(0) = h_{0} \sum_{j,k,l\neq 0} h_{k} \vec{\alpha}_{k} - \sum_{j,k\neq 0} h_{j} \mathbf{J}_{jk} \vec{h}_{k} - H \vec{\alpha}_{0}$   $-\sum_{j,k,l\neq 0} h_{j} h_{l} \Gamma_{0l}^{k} \mathbf{J}_{jk} \vec{\alpha}_{0} - \sum_{j,k,l,s\neq 0} h_{j} h_{l} \mathbf{J}_{js} \Gamma_{kl}^{s} \vec{\alpha}_{k},$ (3)  $-fF^{1}(0) = f\dot{F}^{2}(0) = h_{0}\vec{H} - 2H\vec{h}_{0} \text{ (mod vertical)}.$ 

This gives the characterizations of  $\mathcal{V}_1$ ,  $\mathcal{V}_2$ , and  $\mathcal{H}_2$ .

Suppose that  $a_b \vec{\alpha}_b$  is contained in  $\mathcal{V}_3$ . Since  $\mathcal{V}_3$  and  $\mathcal{H}_2$  are skew-orthogonal,

(4.4) 
$$-\sum_{j,k\neq 0} a_k h_j \mathbf{J}_{kj} = \omega \left( a_b \vec{\alpha}_b, h_j \mathbf{J}_{ij} \vec{h}_i \right) = 0.$$

Since  $\mathcal{V}_3$  and  $\mathcal{H}_1$  are skew-orthogonal, we also have

(4.5) 
$$0 = -\omega \left( a_b \vec{\alpha}_b, h_0 \vec{H} - 2H \vec{h}_0 \right) = h_0 h_k a_k - 2H a_0$$

This gives the characterizations of  $\mathcal{V}_3$ .

It also follows that

$$\begin{split} & [H, a_0 \vec{\alpha}_0 + a_i \vec{\alpha}_i] \\ &= (\vec{H} a_0) \vec{\alpha}_0 + a_0 [\vec{H}, \vec{\alpha}_0] + (\vec{H} a_i) \vec{\alpha}_i + a_i [\vec{H}, \vec{\alpha}_i] \\ &= (\vec{H} a_0) \vec{\alpha}_0 - a_0 h_j \mathbf{J}_{jk} \vec{\alpha}_k + (\vec{H} a_i) \vec{\alpha}_i + a_i \vec{h}_i + a_i h_j (\Gamma^i_{aj} - \Gamma^i_{ja}) \vec{\alpha}_a. \end{split}$$

It follows from the structural equation that  $[\vec{H}, a_0\vec{\alpha}_0 + a_i\vec{\alpha}_i]$  is con-tained in  $\mathcal{V}_3 \oplus \mathcal{H}_3$ . Moreover, if  $X_1$  and  $X_2$  are the  $\mathcal{V}_3$  and  $\mathcal{H}_3$  parts of  $[\vec{H}, a_0 \vec{\alpha_0} + a_i \vec{\alpha_i}]$ , respectively, then

$$\pi_*[\vec{H}, X_1] = \pi_*[\vec{H}, X_2].$$

Suppose that  $a_i \vec{h}_i + c_a \vec{\alpha}_a$  is contained in  $\mathcal{H}_3$ . Then it follows from Lemma 4.4 and the characterization of  $\mathcal{V}_3$  that

$$\pi_*[\vec{H}, a_i\vec{h}_i + c_a\vec{\alpha}_a]$$

$$= (\vec{H}a_i)v_i + a_ih_j[v_j, v_i] - a_i\mathbf{J}_{ik}h_0v_k - a_ih_j(\Gamma^j_{ik} - \Gamma^j_{ki})v_k + c_iv_i$$

$$= (\vec{H}a_i)v_i + a_ih_j(\Gamma^k_{ji} - \Gamma^k_{ij})v_k - a_i\mathbf{J}_{ik}h_0v_k - a_ih_j(\Gamma^j_{ik} - \Gamma^j_{ki})v_k + c_iv_i$$

$$= (\vec{H}a_i)v_i + a_ih_j(\Gamma^k_{ji} + \Gamma^j_{ki})v_k - a_i\mathbf{J}_{ik}h_0v_k + c_iv_i$$
and
$$\pi_*[\vec{H}, (\vec{H}a_0)\vec{\alpha}_0 - a_0h_i\mathbf{J}_{ik}\vec{\alpha}_k + (\vec{H}a_i)\vec{\alpha}_i + a_ih_j(\Gamma^i_{ai} - \Gamma^i_{ia})\vec{\alpha}_a - c_a\vec{\alpha}_a]$$

$$\pi_*[\vec{H}, (\vec{H}a_0)\vec{\alpha}_0 - a_0h_j\mathbf{J}_{jk}\vec{\alpha}_k + (\vec{H}a_i)\vec{\alpha}_i + a_ih_j(\Gamma^i_{aj} - \Gamma^i_{ja})\vec{\alpha}_a - c_a\vec{\alpha}_a] \\= -a_0h_j\mathbf{J}_{jk}v_k + (\vec{H}a_i)v_i + a_ih_j(\Gamma^i_{kj} - \Gamma^i_{jk})v_k - c_iv_i$$

It follows that

$$c_k = a_i h_j \Gamma^i_{kj} + \frac{1}{2} (a_j \mathbf{J}_{jk} h_0 - a_0 h_j \mathbf{J}_{jk}).$$

It also follows from this that

$$\begin{aligned} (\vec{H}a_0 - c_0)\vec{\alpha}_0 - a_0h_j\mathbf{J}_{jk}\vec{\alpha}_k + (\vec{H}a_i)\vec{\alpha}_i + a_ih_j(\Gamma^i_{0j} - \Gamma^i_{j0})\vec{\alpha}_0 \\ &+ a_ih_j(\Gamma^i_{kj} - \Gamma^i_{jk})\vec{\alpha}_k - \left(\frac{1}{2}a_j\mathbf{J}_{jk}h_0 - \frac{1}{2}a_0h_j\mathbf{J}_{jk} + a_ih_j\Gamma^i_{kj}\right)\vec{\alpha}_k \\ &= (\vec{H}a_0 - c_0 + a_ih_j\Gamma^i_{0j})\vec{\alpha}_0 + (\vec{H}a_i)\vec{\alpha}_i - a_ih_j\Gamma^i_{jk}\vec{\alpha}_k - \frac{1}{2}\left(a_j\mathbf{J}_{jk}h_0 + a_0h_j\mathbf{J}_{jk}\right)\vec{\alpha}_k \\ &\text{is contained in }\mathcal{V}_3. \text{ Therefore,} \end{aligned}$$

$$2H\left(\vec{H}a_0 - c_0 + a_i h_j \Gamma_{0j}^i\right)$$
  
=  $h_0 \left(\vec{H}a_k - \frac{1}{2}a_j h_0 \mathbf{J}_{jk} - \frac{1}{2}a_0 h_j \mathbf{J}_{jk} - a_i h_j \Gamma_{jk}^i\right) h_k$   
=  $h_0 \left(\vec{H}a_k\right) h_k - h_0 a_i \Gamma_{jk}^i h_j h_k$ 

On the other hand, it follows from (4.5) that

$$h_0 h_l h_s \Gamma^s_{lk} a_k + h_0 h_k \dot{H} a_k - 2H \dot{H} a_0 = 0.$$

Therefore,  $c_0 = a_i h_j \Gamma_{0j}^i$  and this finishes the characterization of  $\mathcal{H}_3$ . By the tenth relation in Lemma 4.5 and the structural equation, we

can choose a vector in  $\mathcal{H}_1$  of the form

$$2H\vec{h}_0 - h_0\vec{H} + r_a\vec{\alpha}_a.$$

Since  $\mathcal{H}_1$  is in the skew orthogonal complement of  $\mathcal{H}_3$ , we have

$$0 = \omega \left( a_i \vec{h}_i + c_a \vec{\alpha}_a, 2H \vec{h}_0 - h_0 \vec{H} + r_a \vec{\alpha}_a \right)$$
  
=  $2H a_i dh_0 (\vec{h}_i) - 2H c_0 - h_0 a_i dH (\vec{h}_i) + h_0 c_j h_j + r_i a_i$   
=  $-2H a_i \Gamma_{0i}^s h_s - 2H c_0 + h_0 a_i h_j h_k \Gamma_{ji}^k + h_0 c_j h_j + r_i a_i$   
=  $-2H a_i \Gamma_{0i}^s h_s - 2H c_0 - h_0 a_i h_j h_k \Gamma_{jk}^i + h_0 a_i h_j h_k \Gamma_{kj}^i + r_i a_i$   
=  $r_i a_i$ .

Therefore, by (4.4), we have  $r_i = r \mathbf{J}_{ij} h_j$  for some r, where i = 1, ..., 2n.

Since  $\mathcal{H}_2$  is also skew orthogonal to  $\mathcal{H}_1$ , we also have

$$0 = \omega \left( h_0 h_k \vec{\alpha}_k - h_j \mathbf{J}_{jk} \vec{h}_k - H \vec{\alpha}_0 - h_j h_l \Gamma_{0l}^k \mathbf{J}_{jk} \vec{\alpha}_0 - h_j h_l \mathbf{J}_{js} \Gamma_{kl}^s \vec{\alpha}_k, 2H \vec{h}_0 - h_0 \vec{H} + r_0 \vec{\alpha}_0 + r \mathbf{J}_{ij} h_j \vec{\alpha}_i \right)$$
  
$$= -2H dh_0 \left( h_j \mathbf{J}_{jk} \vec{h}_k + H \vec{\alpha}_0 + h_j h_l \Gamma_{0l}^k \mathbf{J}_{jk} \vec{\alpha}_0 \right)$$
  
$$- h_0 dH \left( h_0 h_k \vec{\alpha}_k - h_j \mathbf{J}_{jk} \vec{h}_k - h_j h_l \mathbf{J}_{js} \Gamma_{kl}^s \vec{\alpha}_k \right) - r \mathbf{J}_{ij} h_j \alpha_i \left( h_l \mathbf{J}_{lk} \vec{h}_k \right)$$
  
$$= -2H h_j \mathbf{J}_{jk} dh_0 (\vec{h}_k) + (2H)^2 + 2H h_j h_l \Gamma_{0l}^k \mathbf{J}_{jk}$$
  
$$+ 4h_0^2 H + h_0 h_j h_l \mathbf{J}_{jk} dh_l (\vec{h}_k) - h_0 h_i h_j h_l \mathbf{J}_{js} \Gamma_{il}^s + 2r H$$
  
$$= 2r H.$$

Therefore r = 0. Finally, since  $2H\vec{h}_0 - h_0\vec{H} + r_0\vec{\alpha}_0$  is in  $\mathcal{H}_1$ , it follows from the structural equation that

$$0 = \omega([\vec{H}, 2H\vec{h}_0 - h_0\vec{H} + r_0\vec{\alpha}_0], 2h_0h_k\vec{\alpha}_k - h_j\mathbf{J}_{jk}\vec{h}_k - 2H\vec{\alpha}_0)$$
  
=  $r_0\omega([\vec{H}, \vec{\alpha}_0], 2h_0h_k\vec{\alpha}_k - h_j\mathbf{J}_{jk}\vec{h}_k - 2H\vec{\alpha}_0).$ 

Hence,  $r_0 = 0$  and this gives  $\mathcal{H}_1$ .

#### 5. Curvatures of sub-Riemannian geodesic flows

In this section, we will focus on the computation of the curvature  $R^{ij}(0)$ , where the Jacobi curve is given by the sub-Riemannian geodesic flow. For this, let  $\mathcal{R}^{ij}: \mathcal{V}_i \to \mathcal{V}_j$  be the operator for which the matrix representation with respect to bases  $E^i(0)$  and  $E^j(0)$  of  $\mathcal{V}_i$  and  $\mathcal{V}_j$ , respectively, is given by  $R^{ij}(0)$ . More precisely,

$$\mathcal{R}^{ij}(E_k^i(0)) = \sum_l R_{kl}^{ij}(0) E_l^j(0),$$

where  $R_{kl}^{ij}(0)$  is the kl-th entry of  $R^{ij}(0)$ .

**Theorem 5.1.** Assume that the manifold is Sasakian. Then, under the identifications of Theorem 4.2,  $\mathcal{R}$  is given by

- (1)  $\mathcal{R}(v) = 0$  for all v in  $\mathcal{V}_1$ ,
- (2)  $\mathcal{R}(v)_{\mathcal{V}_2} = (Rm(\boldsymbol{J}p^h, p^h)p^h)_{\mathcal{V}_2} + (\frac{1}{4}|p^h|^2 + p(v_0)^2)\boldsymbol{J}p^h$ =  $(Rm^*(\boldsymbol{J}p^h, p^h)p^h)_{\mathcal{V}_2} + p(v_0)^2\boldsymbol{J}p^h$  for all v in  $\mathcal{V}_2$
- $= (Rm^{*}(\boldsymbol{J}p^{h}, p^{h})p^{h})_{\mathcal{V}_{2}} + p(v_{0})^{2}\boldsymbol{J}p^{h} \text{ for all } v \text{ in } \mathcal{V}_{2},$ (3)  $\mathcal{R}(v)_{\mathcal{V}_{3}} = (Rm(\boldsymbol{J}p^{h}, p^{h})p^{h})_{\mathcal{V}_{3}} = (Rm^{*}(\boldsymbol{J}p^{h}, p^{h})p^{h})_{\mathcal{V}_{3}} \text{ for all } v \text{ in } \mathcal{V}_{2},$
- (4)  $\mathcal{R}(v)_{\mathcal{V}_1} = 0$  for all v in  $\mathcal{V}_3$ ,
- (5)  $\mathcal{R}(v)_{\mathcal{V}_2} = (Rm(v^h, p^h)p^h)_{\mathcal{V}_2} = (Rm^*(Jp^h, p^h)p^h)_{\mathcal{V}_2}$  for all v in  $\mathcal{V}_3$ ,

(6) 
$$\mathcal{R}(p^h + p(v_0)v_0) = 0,$$

(7) 
$$\mathcal{R}(v)_{\mathcal{V}_3} = (Rm(v^h, p^h)p^h)_{\mathcal{V}_3} + \frac{1}{4}p(v_0)^2v^h = (Rm^*(v^h, p^h)p^h)_{\mathcal{V}_3} + \frac{1}{4}p(v_0)^2v^h \text{ for all } v \text{ in } \mathcal{V}_3 \text{ satisfying } \langle v^h, p^h \rangle = 0.$$

*Proof.* Let  $\Lambda_{\mathcal{V}_i\mathcal{H}_j}: \mathcal{V}_i \to \mathcal{H}_j$  be the operator defined by

$$\Lambda_{\mathcal{V}_i\mathcal{H}_j}(V) = [\dot{H}, V]_{\mathcal{H}_j},$$

where V is a section in  $\mathcal{V}_i$  and the subscript  $\mathcal{H}_j$  denotes the  $\mathcal{H}_j$ component of the vector.

It follows from (2.1) that  $\Lambda_{\mathcal{V}_i\mathcal{H}_j}$  is tensorial and so well-defined. We also define operators  $\Lambda_{\mathcal{V}_i\mathcal{V}_j}$ ,  $\Lambda_{\mathcal{H}_i\mathcal{V}_j}$ , and  $\Lambda_{\mathcal{H}_i\mathcal{H}_j}$  in a similar way. By (2.1), we have

Lemma 5.2. The following relations hold.

- (1)  $\mathcal{R}^{11} = \Lambda_{\mathcal{H}_1 \mathcal{V}_1} \circ \Lambda_{\mathcal{H}_2 \mathcal{H}_1} \circ \Lambda_{\mathcal{V}_2 \mathcal{H}_2} \circ \Lambda_{\mathcal{V}_1 \mathcal{V}_2},$
- (2)  $\mathcal{R}^{13} = \Lambda_{\mathcal{H}_1 \mathcal{V}_3} \circ \Lambda_{\mathcal{H}_2 \mathcal{H}_1} \circ \Lambda_{\mathcal{V}_2 \mathcal{H}_2} \circ \Lambda_{\mathcal{V}_1 \mathcal{V}_2},$
- (3)  $\mathcal{R}^{22} = -\Lambda_{\mathcal{H}_2\mathcal{V}_2} \circ \Lambda_{\mathcal{V}_2\mathcal{H}_2},$
- (4)  $\mathcal{R}^{23}_{\mathcal{H}_2\mathcal{V}_3} \circ \Lambda_{\mathcal{V}_2\mathcal{H}_2},$
- (5)  $\mathcal{R}^{31}_{\gamma} = -\Lambda_{\mathcal{H}_3\mathcal{V}_1} \circ \Lambda_{\mathcal{V}_3\mathcal{H}_3},$
- $\begin{array}{c} (6) \\ (6) \\ \mathcal{R}^{32} = -\Lambda_{\mathcal{H}_3\mathcal{V}_2} \circ \Lambda_{\mathcal{V}_3\mathcal{H}_3}, \\ \end{array}$
- (7)  $\mathcal{R}^{33} = -\Lambda_{\mathcal{H}_3\mathcal{V}_3} \circ \Lambda_{\mathcal{V}_3\mathcal{H}_3}.$

Clearly,  $\Lambda_{\mathcal{H}_1\mathcal{V}_1} \equiv 0$  and  $\Lambda_{\mathcal{H}_1\mathcal{V}_3} \equiv 0$ . For the rest, we need a lemma for which the proof is given in the appendix.

**Lemma 5.3.** The following holds at x

$$\begin{array}{l} (1) \quad [\vec{h}_{k}, \vec{h}_{i}] = \boldsymbol{J}_{ki} \vec{h}_{0} + \sum_{a} b_{ki}^{a} \vec{\alpha}_{a}, \\ (2) \quad \sum_{k \neq 0} h_{k} b_{ki}^{0} = \sum_{k, s \neq 0} h_{k} h_{s} v_{k} (\Gamma_{0i}^{s}) \quad if \ k, i \neq 0, \\ (3) \quad \sum_{k \neq 0} h_{k} b_{ki}^{l} = -\sum_{s, k \neq 0} h_{s} h_{k} [v_{k} \Gamma_{il}^{s} - v_{k} \Gamma_{li}^{s} - v_{i} \Gamma_{kl}^{s}] \quad if \ k, i, l \neq 0, \\ (4) \quad [\vec{H}, \vec{h}_{i}] = \sum_{k \neq 0} h_{k} \boldsymbol{J}_{ki} \vec{h}_{0} - \sum_{k \neq 0} h_{0} \boldsymbol{J}_{ik} \vec{h}_{k} + \sum_{k \neq 0, a} h_{k} b_{ki}^{a} \vec{\alpha}_{a}. \end{array}$$

Let  $a_i \vec{h}_i + c_a \vec{\alpha}_a$  be a vector in  $\mathcal{H}_3$ . A computation shows that the followings hold at x.

$$\begin{split} [\vec{H}, a_i \vec{h}_i + c_a \vec{\alpha}_a] \\ &= (\vec{H}a_i) \vec{h}_i + (\vec{H}c_0) \vec{\alpha}_0 + (\vec{H}c_i) \vec{\alpha}_i + a_i [\vec{H}, \vec{h}_i] + c_0 [\vec{H}, \vec{\alpha}_0] + c_i [\vec{H}, \vec{\alpha}_i] \\ &= (\vec{H}a_i) \vec{h}_i + a_i h_j h_l (v_l \Gamma_{0j}^i) \vec{\alpha}_0 + (\vec{H}c_i) \vec{\alpha}_i - h_0 a_i \mathbf{J}_{ik} \vec{h}_k \\ &+ a_i h_k h_s (v_k \Gamma_{0i}^s) \vec{\alpha}_0 + a_i h_k b_{ki}^j \vec{\alpha}_j + c_k (\vec{h}_k + h_j \mathbf{J}_{jk} \vec{\alpha}_0) \\ &= (\vec{H}a_k) \vec{h}_k - \frac{1}{2} (a_j \mathbf{J}_{jk} h_0 + a_0 h_j \mathbf{J}_{jk}) \vec{h}_k + (\vec{H}c_i) \vec{\alpha}_i + a_i h_k b_{ki}^j \vec{\alpha}_j. \end{split}$$

On the other hand, we have

$$\frac{h_0}{2H} \left( \vec{H} a_k - \frac{1}{2} a_j \mathbf{J}_{jk} h_0 - \frac{1}{2} a_0 h_j \mathbf{J}_{jk} \right) h_k = \frac{h_0}{2H} (\vec{H} a_k) h_k$$

and

$$\frac{1}{2} \left( \vec{H}a_i - \frac{1}{2}a_j \mathbf{J}_{ji}h_0 - \frac{1}{2}a_0h_j \mathbf{J}_{ji} \right) \mathbf{J}_{ik}h_0 - \frac{1}{2}\frac{h_0}{2H}(\vec{H}a_i)h_ih_j \mathbf{J}_{jk}$$
$$= \frac{1}{2} (\vec{H}a_i) \mathbf{J}_{ik}h_0 + \frac{1}{4}a_kh_0^2 + \frac{1}{4}a_0h_kh_0 - \frac{h_0}{4H}(\vec{H}a_i)h_ih_j \mathbf{J}_{jk}$$

at x.

Therefore,

$$[\vec{H}, a_i \vec{h}_i + c_a \vec{\alpha}_a]_{\mathcal{V}} = -\frac{1}{2} (\vec{H}a_i) \mathbf{J}_{ik} h_0 \vec{\alpha}_k - \frac{1}{4} a_k h_0^2 \vec{\alpha}_k - \frac{1}{4} a_0 h_k h_0 \vec{\alpha}_k + \frac{h_0}{4H} (\vec{H}a_i) h_i h_j \mathbf{J}_{jk} \vec{\alpha}_k + (\vec{H}c_k) \vec{\alpha}_k + a_i h_j b_{ji}^k \vec{\alpha}_k.$$

Another computation shows that

$$\vec{H}c_{k} = \frac{1}{2}(\vec{H}a_{j})\mathbf{J}_{jk}h_{0} - \frac{1}{2}(\vec{H}a_{0})h_{j}\mathbf{J}_{jk} - \frac{1}{2}a_{0}h_{l}dh_{j}(\vec{h}_{l})\mathbf{J}_{jk} + a_{i}h_{j}h_{l}(v_{l}\Gamma_{kj}^{i})$$

$$= \frac{1}{2}(\vec{H}a_{j})\mathbf{J}_{jk}h_{0} - \frac{h_{0}}{4H}(\vec{H}a_{l})h_{l}h_{j}\mathbf{J}_{jk}$$

$$- \frac{h_{0}}{4H}a_{l}h_{s}dh_{l}(\vec{h}_{s})h_{j}\mathbf{J}_{jk} - \frac{1}{2}a_{0}h_{l}dh_{j}(\vec{h}_{l})\mathbf{J}_{jk} + a_{i}h_{j}h_{l}(v_{l}\Gamma_{kj}^{i})$$

$$= \frac{1}{2}(\vec{H}a_{j})\mathbf{J}_{jk}h_{0} - \frac{h_{0}}{4H}(\vec{H}a_{l})h_{l}h_{j}\mathbf{J}_{jk} + \frac{1}{2}a_{0}h_{0}h_{k} + a_{i}h_{j}h_{l}(v_{l}\Gamma_{kj}^{i})$$

Hence,

$$\begin{split} [\vec{H}, a_i \vec{h}_i + c_a \vec{\alpha}_a]_{\mathcal{V}} &= -\frac{1}{2} (\vec{H}a_i) \mathbf{J}_{ik} h_0 \vec{\alpha}_k - \frac{1}{4} a_k h_0^2 \vec{\alpha}_k - \frac{1}{4} a_0 h_k h_0 \vec{\alpha}_k \\ &+ \frac{h_0}{4H} (\vec{H}a_i) h_i h_j \mathbf{J}_{jk} \vec{\alpha}_k + \frac{1}{2} (\vec{H}a_j) \mathbf{J}_{jk} h_0 \vec{\alpha}_k - \frac{h_0}{4H} (\vec{H}a_l) h_l h_j \mathbf{J}_{jk} \vec{\alpha}_k \\ &+ \frac{1}{2} a_0 h_0 h_k \vec{\alpha}_k + a_i h_j h_l (v_l \Gamma_{kj}^i) \vec{\alpha}_k + a_i h_j b_{ji}^k \vec{\alpha}_k \\ &= -\frac{1}{4} a_k h_0^2 \vec{\alpha}_k + \frac{1}{4} a_0 h_k h_0 \vec{\alpha}_k - a_i h_s h_l (v_l \Gamma_{ik}^s - v_i \Gamma_{lk}^s) \vec{\alpha}_k \\ &= -\frac{1}{4} h_0 (a_k h_0 - a_0 h_k) \vec{\alpha}_k - a_i h_s h_l \operatorname{Rm}_{ilsk} \vec{\alpha}_k. \end{split}$$

where  $\operatorname{Rm}_{ijks} = \langle \operatorname{Rm}(v_i, v_j)v_k, v_s \rangle$ . This finishes the proof of the last four assertions. Let

$$h_j \mathbf{J}_{jk} \vec{h}_k - h_0 h_k \vec{\alpha}_k + H \vec{\alpha}_0 + h_j h_l \Gamma_{0l}^k \mathbf{J}_{jk} \vec{\alpha}_0 + h_j h_l \mathbf{J}_{js} \Gamma_{kl}^s \vec{\alpha}_k$$

be a section of the bundle  $\mathcal{H}_2$ . Then

$$\begin{split} \left[\vec{H}, h_{j}\mathbf{J}_{jk}\vec{h}_{k} - h_{0}h_{k}\vec{\alpha}_{k} + H\vec{\alpha}_{0} + h_{j}h_{l}\Gamma_{0l}^{k}\mathbf{J}_{jk}\vec{\alpha}_{0} + h_{j}h_{l}\mathbf{J}_{js}\Gamma_{kl}^{s}\vec{\alpha}_{k}\right] \\ &= h_{i}dh_{j}(\vec{h}_{i})\mathbf{J}_{jk}\vec{h}_{k} - h_{0}h_{i}dh_{k}(\vec{h}_{i})\vec{\alpha}_{k} + H[\vec{H},\vec{\alpha}_{0}] + h_{j}\mathbf{J}_{jk}[\vec{H},\vec{h}_{k}] \\ &- h_{0}h_{k}[\vec{H},\vec{\alpha}_{k}] + h_{j}h_{l}h_{i}(v_{i}\Gamma_{0l}^{k})\mathbf{J}_{jk}\vec{\alpha}_{0} + h_{j}h_{l}h_{i}(v_{i}\Gamma_{kl}^{s})\mathbf{J}_{js}\vec{\alpha}_{k} \\ &= -2h_{0}\vec{H} - h_{0}^{2}h_{i}\mathbf{J}_{ik}\vec{\alpha}_{k} - Hh_{j}\mathbf{J}_{jk}\vec{\alpha}_{k} + h_{j}\mathbf{J}_{jk}(h_{i}\mathbf{J}_{ik}\vec{h}_{0} - h_{0}\mathbf{J}_{ki}\vec{h}_{i}) \\ &+ h_{j}h_{i}\mathbf{J}_{jk}b_{ik}^{a}\vec{\alpha}_{a} + h_{j}h_{l}h_{i}(v_{i}\Gamma_{0l}^{k})\mathbf{J}_{jk}\vec{\alpha}_{0} + h_{j}h_{l}h_{i}(v_{i}\Gamma_{kl}^{s})\mathbf{J}_{js}\vec{\alpha}_{k} \\ &= 2H\vec{h}_{0} - h_{0}\vec{H} - h_{0}^{2}h_{i}\mathbf{J}_{ik}\vec{\alpha}_{k} - Hh_{j}\mathbf{J}_{jk}\vec{\alpha}_{k} \\ &+ h_{j}h_{i}\mathbf{J}_{jk}b_{ik}^{a}\vec{\alpha}_{a} + h_{j}h_{l}h_{i}(v_{i}\Gamma_{0l}^{k})\mathbf{J}_{jk}\vec{\alpha}_{0} + h_{j}h_{l}h_{i}(v_{i}\Gamma_{kl}^{s})\mathbf{J}_{js}\vec{\alpha}_{k}. \end{split}$$

It follows that

$$\begin{split} \left[\vec{H}, h_{j}\mathbf{J}_{jk}\vec{h}_{k} - h_{0}h_{k}\vec{\alpha}_{k} + H\vec{\alpha}_{0} + h_{j}h_{l}\Gamma_{0l}^{k}\mathbf{J}_{jk}\vec{\alpha}_{0} + h_{j}h_{l}\mathbf{J}_{js}\Gamma_{kl}^{s}\vec{\alpha}_{k}\right]_{\mathcal{V}} \\ &= -h_{0}^{2}h_{i}\mathbf{J}_{ik}\vec{\alpha}_{k} - Hh_{j}\mathbf{J}_{jk}\vec{\alpha}_{k} \\ &+ h_{j}h_{i}\mathbf{J}_{jk}b_{ik}^{a}\vec{\alpha}_{a} + h_{j}h_{l}h_{i}(v_{i}\Gamma_{0l}^{k})\mathbf{J}_{jk}\vec{\alpha}_{0} + h_{j}h_{l}h_{i}(v_{i}\Gamma_{kl}^{s})\mathbf{J}_{js}\vec{\alpha}_{k} \\ &= -h_{0}^{2}h_{i}\mathbf{J}_{ik}\vec{\alpha}_{k} - Hh_{j}\mathbf{J}_{jk}\vec{\alpha}_{k} + h_{j}h_{i}h_{s}\mathbf{J}_{jk}(v_{i}\Gamma_{0k}^{s})\vec{\alpha}_{0} \\ &+ h_{j}h_{l}h_{i}(v_{i}\Gamma_{0l}^{k})\mathbf{J}_{jk}\vec{\alpha}_{0} - h_{s}h_{j}h_{k}\mathbf{J}_{ji}(v_{k}\Gamma_{il}^{s} - v_{k}\Gamma_{li}^{s} - v_{i}\Gamma_{kl}^{s} + v_{k}\Gamma_{li}^{s})\vec{\alpha}_{l} \\ &= -h_{0}^{2}h_{i}\mathbf{J}_{ik}\vec{\alpha}_{k} - Hh_{j}\mathbf{J}_{jk}\vec{\alpha}_{k} - h_{s}h_{j}h_{k}\mathbf{J}_{ji}(v_{k}\Gamma_{il}^{s} - v_{i}\Gamma_{kl}^{s})\vec{\alpha}_{l} \\ &= -\left(h_{0}^{2} + \frac{1}{2}H\right)h_{i}\mathbf{J}_{ik}\vec{\alpha}_{k} - h_{j}h_{k}h_{s}\mathbf{J}_{ji}\mathbf{Rm}_{kils}\vec{\alpha}_{l}. \end{split}$$

# 6. Conjugate time estimates and Bonnet-Myer's type theorem

In this section, we give estimates for the first conjugate time under certain curvature lower bound. Let  $\psi_t : T_x^*M \to M$  be the map defined by  $\psi_t(x,p) = \pi(e^{t\vec{H}}(x,p))$ , where  $\pi : T^*M \to M$  is the projection. Let us fix a covector (x,p). The first conjugate time is the smallest  $t_0 > 0$  such that the linear map  $(d\psi_{t_0})_{(x,p)}$  is not bijective. The curve  $t \mapsto \psi_t(x,p)$  is no longer minimizing if  $t > t_0$  (see [2]).

**Theorem 6.1.** Assume that the Tanaka-Webster curvature  $Rm^*$  of the Sasakian manifold satisfies

(1)  $\left\langle Rm^{*}(\boldsymbol{J}p^{h}, p^{h})p^{h}, \boldsymbol{J}p^{h} \right\rangle \geq k_{1}|p^{h}|^{4},$ (2)  $\sum_{i=1}^{2n-2} \left\langle Rm^{*}(w_{i}, p^{h})p^{h}, w_{i} \right\rangle \geq (2n-1)k_{2}|p^{h}|^{2},$ 

for some non-negative constants  $k_1$  and  $k_2$ , where  $w_1, ..., w_{2n-2}$  is an orthonormal frame of  $\{p^h, Jp^h, v_0\}^{\perp}$ . Then the first conjugate time of the geodesic  $t \mapsto \psi_t(x, p)$  is less than or equal to  $\frac{2\pi}{\sqrt{p(v_0)^2 + k_1 |p^h|^2}}$  and

 $\frac{2\pi}{\sqrt{p(v_0)^2 + 4k_2|p^h|^2}}.$ Moreover, if
(1)  $\langle Rm^*(Jp^h, p^h)p^h, Jp^h \rangle = k_1|p^h|^4,$ (2)  $\sum_{i=1}^{2n-2} \langle Rm^*(w_i, p^h)p^h, w_i \rangle = (2n-1)k_2|p^h|^2.$ Then the first conjugate time of the geodesic  $t \mapsto \psi_t(x, p)$  is equal to the minimum of  $\frac{2\pi}{\sqrt{p(v_0)^2 + k_1|p^h|^2}}$  and  $\frac{2\pi}{\sqrt{p(v_0)^2 + 4k_2|p^h|^2}}.$ 

*Proof.* Let  $E(t) = (E^1(t), E^2(t), E^3(t)), F(t) = (F^1(t), F^2(t), F^3(t))$  be a canonical frame of the Jacobi curve  $\mathfrak{J}_{(x,p)}(t)$ . Let A(t) and B(t) be matrices defined by

(6.1) 
$$E(0) = A(t)E(t) + B(t)F(t).$$

On the other hand, if we differentiate the equation (6.1) with respect to t, then

$$0 = \dot{A}(t)E(t) + A(t)\dot{E}(t) + \dot{B}(t)F(t) + B(t)\dot{F}(t)$$
  
=  $\dot{A}(t)E(t) + A(t)C_1E(t) + A(t)C_2F(t)$   
+  $\dot{B}(t)F(t) - B(t)R(t)E(t) - B(t)C_1^TF(t).$ 

It follows that

(6.2) 
$$\dot{A}(t) + A(t)C_1 - B(t)R(t) = 0 \dot{B}(t) + A(t)C_2 - B(t)C_1^T = 0$$

with initial conditions B(0) = 0 and A(0) = I.

If we set  $S(t) = B(t)^{-1}A(t)$ , then S(t) satisfies the following Riccati equation

(6.3) 
$$\dot{S}(t) - S(t)C_2S(t) + C_1^TS(t) + S(t)C_1 - R(t) = 0.$$

Let us choose  $E_{2n-1}^3(0) = p^h + p(v_0)v$  and let

$$S(t) = \begin{pmatrix} S_1(t) & S_2(t) & S_3(t) \\ S_2(t)^T & S_4(t) & S_5(t) \\ S_3(t)^T & S_5(t)^T & S_6(t) \end{pmatrix},$$

where  $S_1(t)$  is a 2 × 2 matrix and  $S_6(t)$  is 1 × 1. Then

(6.4)  

$$\begin{aligned}
\dot{S}_{1}(t) - S_{1}(t)\hat{C}_{2}S_{1}(t) - S_{2}(t)S_{2}(t)^{T} \\
- S_{3}(t)S_{3}(t)^{T} + \tilde{C}_{1}^{T}S_{1}(t) + S_{1}(t)\tilde{C}_{1} - \tilde{R}^{1}(t) = 0, \\
\dot{S}_{4}(t) - S_{4}(t)^{2} - S_{5}(t)S_{5}(t)^{T} - S_{2}(t)^{T}\tilde{C}_{2}S_{2}(t) - \tilde{R}^{2}(t) = 0, \\
\dot{S}_{6}(t) - S_{6}(t)^{2} - S_{5}(t)^{T}S_{5}(t) - S_{3}(t)^{T}\tilde{C}_{2}S_{3}(t) = 0,
\end{aligned}$$

where  $\tilde{C}_1 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ ,  $\tilde{C}_2 = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ , and  $\tilde{R}^1(t) = \begin{pmatrix} 0 & 0 \\ 0 & R^{22}(t) \end{pmatrix}$ .  $\tilde{R}^2(t)$  is the  $(2n-2) \times (2n-2)$  matrix with *ii*-th entry equal to  $R^{33}_{ii}(t)$ .

 $\tilde{R}^2(t)$  is the  $(2n-2) \times (2n-2)$  matrix with ij-th entry equal to  $R_{ij}^{33}(t)$ . Note that  $U(t) = S(t)^{-1}$  also satisfies U(0) = 0 and the Riccati equation

$$\dot{U}(t) + C_2 - U(t)C_1^T - C_1U(t) + U(t)R(t)U(t) = 0$$

This gives

$$U(t) = -tC_2 - \frac{t^2}{2}(C_1 + C_1^T) - \frac{t^3}{6}(C_1C_1^T + C_2R(0)C_2) + O(t^4).$$

By using this expansion and S(t)U(t) = I, we obtain

$$S_1(t) = \begin{pmatrix} -\frac{12}{t^3} + O(1/t^2) & \frac{6}{t^2} + O(1/t) \\ \frac{6}{t^2} + O(1/t) & -\frac{4}{t} + O(1) \end{pmatrix},$$
  
$$\mathbf{tr}(S_4(t)) = -\frac{2n-2}{t} + O(1), \quad S_6(t) = -\frac{1}{t} + O(1).$$

(For instance, one can take the dot product of the first row

$$s(t) = (S_{1,1}(t), ..., S_{1,2n+1}(t))$$

of S(t) with the third, fourth, ..., 2n-th columns of U(t). This gives the order of the dominating terms of  $(S_{1,3}(t), ..., S_{1,2n+1}(t))$  in terms of that of  $S_{1,2}(t)$ . By taking the dot product of s(t) with the first and second column of U(t), we obtain the leading order terms of  $S_{1,1}(t)$  and  $S_{1,2}(t)$ . Similar procedure works for other entries of S(t).)

By applying the comparison principle of Riccati equations in [12] to S(t), we have  $S_1(t) \ge \Gamma_1(t)$ , where  $\Gamma_1(t)$  is a solution of the following Riccati equation

$$\dot{\Gamma}_{1}(t) - \Gamma_{1}(t)\tilde{C}_{2}\Gamma_{1}(t) + \tilde{C}_{1}^{T}\Gamma_{1}(t) + \Gamma_{1}(t)\tilde{C}_{1} - K_{1} = 0$$

with the initial condition  $\lim_{t\to 0} \Gamma_1^{-1}(t) = 0$ . (Of course, one needs to apply the comparison principle to S(t) and  $\Gamma(t+\epsilon)$  and let  $\epsilon$  to zero as usual). Here  $K_1 = \begin{pmatrix} 0 & 0 \\ 0 & \mathfrak{k}_1 \end{pmatrix}$  and  $\mathfrak{k}_1 = p(v_0)^2 + k_1 |p^h|^2$ . Thus

(6.5) 
$$\mathbf{tr}(\tilde{C}_2 S_1(t)) \geq \mathbf{tr}(\tilde{C}_2 \Gamma_1(t)) \\ = \frac{\sqrt{\mathfrak{k}_1}(\sqrt{\mathfrak{k}_1} t \cos(\sqrt{\mathfrak{k}_1} t) - \sin(\sqrt{\mathfrak{k}_1} t))}{(2 - 2\cos(\sqrt{\mathfrak{k}_1} t) - \sqrt{\mathfrak{k}_1} t \sin(\sqrt{\mathfrak{k}_1} t))}.$$

For the term  $S_4(t)$ , we can take the trace and obtain

$$\frac{d}{dt}\mathbf{tr}(S_4(t)) \ge \frac{1}{2n-2}\mathbf{tr}(S_4(t))^2 + (2n-2)\mathbf{\mathfrak{k}}_2,$$

where  $\mathfrak{k}_2 = \frac{1}{4}p(v_0)^2 + k_2|p^h|^2$ .

Now applying the comparison principle in [12] again we have

(6.6) 
$$\mathbf{tr}(S_4(t)) \ge -\sqrt{\mathfrak{k}_2(2n-2)\cot(\sqrt{\mathfrak{k}_2}t))}.$$

Finally, for the term  $S_6(t)$ , we have

$$\dot{S}_6(t) \ge S_6(t)^2.$$

which implies

$$S_6(t) \ge -\frac{1}{t}.$$

By combining this with (6.5) and (6.6), we obtain

(6.7) 
$$\mathbf{tr}(C_2S(t)) \ge -\sqrt{\mathbf{\mathfrak{k}}_2(2n-2)}\cot\left(\sqrt{\mathbf{\mathfrak{k}}_2t}\right) - \frac{1}{t} + \frac{\sqrt{\mathbf{\mathfrak{k}}_1}(\sqrt{\mathbf{\mathfrak{k}}_1t}\cos(\sqrt{\mathbf{\mathfrak{k}}_1t}) - \sin(\sqrt{\mathbf{\mathfrak{k}}_1t}))}{(2 - 2\cos(\sqrt{\mathbf{\mathfrak{k}}_1t}) - \sqrt{\mathbf{\mathfrak{k}}_1t}\sin(\sqrt{\mathbf{\mathfrak{k}}_1t}))}.$$

Therefore,

$$\frac{d}{dt}\log|\det B(t)| = \operatorname{tr}(C_1^T - S(t)C_2) = -\operatorname{tr}(C_2S(t))$$
  
$$\leq \sqrt{\mathfrak{k}_2}(2n-2)\cot\left(\sqrt{\mathfrak{k}_2}t\right) + \frac{1}{t} - \frac{\sqrt{\mathfrak{k}_1}(\sqrt{\mathfrak{k}_1}t\cos(\sqrt{\mathfrak{k}_1}t) - \sin(\sqrt{\mathfrak{k}_1}t))}{(2-2\cos(\sqrt{\mathfrak{k}_1}t) - \sqrt{\mathfrak{k}_1}t\sin(\sqrt{\mathfrak{k}_1}t))}$$

and hence

$$|\det B(t)| \le Ca(t)$$

where  $C = \lim_{t_0 \to 0} \frac{|\det B(t_0)|}{a(t_0)}$  and

$$a(t) = t \sin^{2n-2}(\sqrt{\mathfrak{k}_2}t)(2 - 2\cos(\sqrt{\mathfrak{k}_1}t) - \sqrt{\mathfrak{k}_1}t\sin(\sqrt{\mathfrak{k}_1}t)).$$

Using (6.2) and the definition of determinant, we see that  $B(t) = -C_2t + \frac{1}{2}(C_1 - C_1^T)t^2 + \frac{1}{6}(C_2R(0)C_2 + C_1C_1^T)t^3 + O(t^4)$  and  $|\det B(t)| = \frac{1}{12}t^{2n+3} + O(t^{2n+4}).$ Therefore.

$$|\det B(t)| \le \frac{t\sin^{2n-2}(\sqrt{\mathfrak{k}_2}t)(2-2\cos(\sqrt{\mathfrak{k}_1}t)-\sqrt{\mathfrak{k}_1}t\sin(\sqrt{\mathfrak{k}_1}t))}{\mathfrak{k}_1^2\mathfrak{k}_2^{2n-2}}.$$

The first assertion follows. Let  $S^{k_1,k_2}(t)$  be a solution of (6.3) with R(t) replaced by

$$R^{k_1,k_2} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & \mathbf{\mathfrak{k}}_1 & 0 & 0 \\ 0 & 0 & \mathbf{\mathfrak{k}}_2 I_{2n-2} & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

with the initial condition  $\lim_{t\to 0} (S_t^{k_1,k_2})^{-1} = 0$ , where  $\mathfrak{k}_1 = p(v_0)^2$  and  $\mathfrak{k}_2 = \frac{1}{4}p(v_0)^2$ .

A calculation similar to that of Theorem 6.1 shows that

$$S^{k_1,k_2}(t) = \begin{pmatrix} \frac{-(k_1)^{3/2}\sin(\tau_t)}{s(t)} & \frac{k_1(1-\cos(\tau_t))}{s(t)} & 0 & 0\\ \frac{k_1(1-\cos(\tau_t))}{s(t)} & \frac{(k_1)^{1/2}(\tau_t\cos(\tau_t)-\sin(\tau_t))}{s(t)} & 0 & 0\\ 0 & 0 & -\sqrt{\mathfrak{k}_2}\cot(\sqrt{\mathfrak{k}_2}t)I_{2n-2} & 0\\ 0 & 0 & 0 & -\frac{1}{t} \end{pmatrix},$$

where  $\tau_t = \sqrt{\mathfrak{t}_1}t$  and  $s(t) = 2 - 2\cos(\tau_t) - \tau_t\sin(\tau_t)$ .

The rest follows as the proof of the previous assertion (with all inequalities replaced by equalities).  $\hfill\square$ 

#### 7. Model Cases

In this section, we discuss two examples, the Heisenberg group and the complex Hopf fibration which are relevant to the later sections. First, we consider a family of Sasakian manifolds  $(M, \mathbf{J}, v_0, \alpha_0, g = \langle \cdot, \cdot \rangle)$  for which the quotient of M by the flow of  $v_0$  is a manifold B. Since  $\mathcal{L}_{v_0} \mathbf{J} = 0$  and  $\mathcal{L}_{v_0} g = 0$ , they descend to a complex structure  $\mathbf{J}_B$ and a Riemannian metric  $g_B$  on B. Moreover, by Theorem 3.2, they form a Kähler manifold. Moreover, the Tanaka-Webster curvature  $\mathrm{Rm}^*$ on M and the Riemann curvature tensor  $\mathrm{Rm}^B$  of B are related by

**Lemma 7.1.** The curvature tensors  $Rm^*$  and  $Rm^B$  are related by

$$Rm^*(\bar{X},\bar{Y})\bar{Z} = \overline{Rm^B(X,Y)Z}$$

where  $\bar{X}$  denotes the vector orthogonal to  $v_0$  which project to the vector X.

*Proof.* Since  $M \to B$  is a Riemannian submersion, we have (see [11])

$$\nabla_{\bar{X}}^* \bar{Y} = (\nabla_{\bar{X}} \bar{Y})^h = \overline{\nabla_X Y}.$$

Since  $\overline{Z}$  projects to Z, we also have

$$\nabla_{v_0}^* \bar{Z} = (\nabla_{v_0} \bar{Z})^h + \frac{1}{2} \mathbf{J} \bar{Z} = (\nabla_{\bar{Z}} v_0)^h + \frac{1}{2} \mathbf{J} \bar{Z} = 0.$$

Therefore,

$$\operatorname{Rm}^{*}(\bar{X}, \bar{Y})\bar{Z} = \nabla_{\bar{X}}^{*} \nabla_{\bar{Y}}^{*} \bar{Z} - \nabla_{\bar{Y}}^{*} \nabla_{\bar{X}}^{*} \bar{Z} - \nabla_{[\bar{X},\bar{Y}]}^{*} \bar{Z}$$
$$= \overline{\nabla_{X} \nabla_{Y} Z} - \overline{\nabla_{Y} \nabla_{X} Z} - \overline{\nabla_{[X,Y]} Z} - \alpha_{0}([\bar{X}, \bar{Y}]) \nabla_{v_{0}}^{*} \bar{Z}$$
$$= \overline{\operatorname{Rm}}^{B}(X, Y) Z.$$

The first example is the Heisenberg group. In this case the manifold M is the Euclidean space  $\mathbb{R}^{2n+1}$ . If we fix a coordinate system  $(x_1, \ldots, x_n, y_1, \ldots, y_n, z)$ , then the 1-form  $\alpha_0$  and the vector field  $v_0$ , are given, respectively, by

$$\alpha_0 = dz - \frac{1}{2} \sum_{i=1}^n x_i dy_i + \frac{1}{2} \sum_{i=1}^n y_i dx_i$$
 and  $v_0 = \partial_z$ .

The Riemannian metric is the one for which the frame

$$X_i = \partial_{x_i} - \frac{1}{2} y_i \partial_z, \quad Y_i = \partial_{y_i} + \frac{1}{2} x_i \partial_z, \quad \partial_z$$

is orthonormal. The tensor  $\mathbf{J}$  is defined by

$$\mathbf{J}(X_i) = Y_i, \quad \mathbf{J}(Y_i) = -X_i, \quad \mathbf{J}(\partial_z) = 0.$$

The quotient B is  $\mathbb{C}^n$  equipped with the standard complex structure and Euclidean inner product.

Let (x, p) be a covector with  $|p^h| = 1$ . Assume that  $t \mapsto \psi(x, t\epsilon p)$  is length minimizing between its endpoints for some  $\epsilon > 0$ . Then, we define the cut time of (x, p) to be the largest such  $\epsilon$ . The following is well-known. We give the proof for completeness.

**Theorem 7.2.** On the Heisenberg group equipped with the above sub-Riemannian structure, the cut time coincides with the first conjugate time.

*Proof.* Let  $P_{X_i} = p_{x_i} - \frac{1}{2}y_ip_z$  and  $P_{Y_i} = p_{y_i} + \frac{1}{2}x_ip_z$ . A computation as in [10] shows that

$$P_{j}(t) := P_{X_{j}}(t) + iP_{Y_{j}}(t) = P_{j}(0)e^{itp_{z}},$$
  

$$w_{j}(t) := x_{j}(t) + iy_{j}(t) = w_{j}(0) - \frac{iP_{j}(0)}{p_{z}}(e^{itp_{z}} - 1),$$
  

$$z(t) := z(0) + \frac{1}{2}\sum_{k=1}^{n}\int_{0}^{t} \operatorname{Im}(\bar{w}_{k}(s)\dot{w}_{k}(s))ds.$$

If (w, z) and  $(\tilde{w}, \tilde{z})$  are unit speed geodesics with the same length L and end-points, then

$$\frac{P_j(0)}{\tilde{p}_z}(e^{iL\tilde{p}_z}-1) = \frac{P_j(0)}{p_z}(e^{iLp_z}-1).$$

By taking the norms, it follows that

$$\frac{1-\cos(L\tilde{p}_z)}{\tilde{p}_z^2} = \frac{1-\cos(Lp_z)}{p_z^2}.$$

Using  $w_j(0) = \tilde{w}_j(0)$  and  $w_j(L) = \tilde{w}_j(L)$ , we also have

$$\frac{e^{i\tilde{\theta}}}{\tilde{p}_z}(e^{iL\tilde{p}_z}-1) = \frac{e^{i\theta}}{p_z}(e^{iLp_z}-1),$$

where  $P_j(0) = e^{i\theta}$  and  $\tilde{P}_j(0) = e^{i\tilde{\theta}}$ . Therefore,

$$\frac{\cos(\theta + Lp_z) - \cos(\theta)}{p_z} = \frac{\cos(\theta + L\tilde{p}_z) - \cos(\theta)}{\tilde{p}_z}$$
$$\frac{\sin(\theta + Lp_z) - \sin(\theta)}{p_z} = \frac{\sin(\tilde{\theta} + L\tilde{p}_z) - \sin(\tilde{\theta})}{\tilde{p}_z}.$$

Finally, since  $z(L) = \tilde{z}(L)$ , a computation together with the above implies that

$$\frac{L\tilde{p}_z - \sin(L\tilde{p}_z)}{\tilde{p}_z^2} = \frac{Lp_z - \sin(Lp_z)}{p_z^2}$$

By investigating the graph of  $\frac{1-\cos(x)}{x^2}$  and  $\frac{x-\sin(x)}{x^2}$ , we have  $p_z = \tilde{p}_z$ . Therefore, if  $L < \frac{2\pi}{p_z}$ , then  $P_j(0) = \tilde{P}_j(0)$  and the two geodesics coincide. Hence, the result follows from Theorem 6.1.

The second example is the complex Hopf fibration. We follow the discussion in [4]. In this case, the manifold is given by the sphere  $S^{2n+1} = \{z \in \mathbb{C}^{n+1} | |z| = 1\}$ . The 1-form  $\alpha_0$  and the vector field  $v_0$  are given, respectively, by

$$\alpha_0 = \frac{1}{2} \sum_{i=1}^n (x_i dy_i - y_i dx_i)$$

and

$$v_0 = 2\sum_{i=1}^n \left(-y_i\partial_{x_i} + x_i\partial_{y_i}\right)$$

where  $z_j = x_j + iy_j$ .

The tangent space of  $S^{2n+1}$  is the direct sum of ker  $\alpha_0$  and  $\mathbb{R}v_0$ . The Riemannian metric is defined in such a way that  $v_0$  has length one,  $v_0$  is orthogonal to ker  $\alpha_0$ , and the restriction of the metric to ker  $\alpha_0$  coincides with the Euclidean one. The (1,1)-tensor **J** is defined analogously by the conditions  $\mathbf{J}v_0 = 0$  and the restriction of **J** to ker  $\alpha_0$  coincides with the standard complex structure on  $\mathbb{C}^n$ . The base manifold B is the complex projective space  $\mathbb{CP}^n$  and the induced Riemannian metric is given by the Fubini-Study metric. It follows from Lemma 7.1 that

$$\langle \operatorname{Rm}^*(\mathbf{J}X, X)X, \mathbf{J}X \rangle = 4 \text{ and } \langle \operatorname{Rm}^*(v, X)X, v \rangle = 1$$

for all v in the orthogonal complement of  $\{X, JX\}$ .

**Theorem 7.3.** On the complex Hopf fibration equipped with the above sub-Riemannian structure, the cut time coincides with the first conjugate time.

*Proof.* The sub-Riemannian geodesic flow is given by

$$\left(a\cos(|v|t) + \frac{v}{|v|}\sin(|v|t)\right)e^{-it\langle v_0,v\rangle},$$

where a is the initial point of the geodesic and v is the initial (co)vector (see [10, 4]).

By the choice of the complex coordinate system, we can assume a = (1, 0, ..., 0). Let  $v = (v_1, ..., v_n)$ . Then the real part of  $v_1$  equal 0. Moreover,  $v^h = (0, v_2, ..., v_n)$  is the horizontal part of v. Assume that  $|v^h| = 1$  and let w be another such covector such that the corresponding geodesic has the same end point and the same length L as that of v.

Under the above assumptions, we have

$$|v|^{2} - \frac{1}{4}(\operatorname{Im}(v_{1}))^{2} = 1 = |w|^{2} - \frac{1}{4}(\operatorname{Im}(w_{1}))^{2}$$

and

$$\left(a\cos(||v||L) + \frac{v}{||v||}\sin(||v||L)\right)e^{-\frac{iL}{2}\operatorname{Im}(v_1)} = \left(a\cos(||w||L) + \frac{w}{||w||}\sin(||w||L)\right)e^{-\frac{iL}{2}\operatorname{Im}(w_1)}.$$

It follows that

$$\left(\cos(|v|L) + \frac{v_1}{|v|}\sin(|v|L)\right)e^{-\frac{iL}{2}\operatorname{Im}(v_1)}$$
$$= \left(\cos(|w|L) + \frac{w_1}{|w|}\sin(|w|L)\right)e^{-\frac{iL}{2}\operatorname{Im}(w_1)}$$

and

$$\left(\frac{v_i}{|v|}\sin(|v|L)\right)e^{-\frac{iL}{2}\operatorname{Im}(v_1)} = \left(\frac{w_i}{|w|}\sin(|w|L)\right)e^{-\frac{iL}{2}\operatorname{Im}(w_1)}.$$

for all  $i \neq 1$ .

By taking the norm of the second equation, we obtain

$$\frac{|v_i|^2}{|v|^2}\sin^2(|v|L) = \frac{|w_i|^2}{|w|^2}\sin^2(|w|L).$$

If we sum over  $i \neq 1$ , then we have

$$\frac{\sin^2(|v|L)}{|v|^2} = \frac{\sin^2(|w|L)}{|w|^2}.$$

If both |v| and |w| are less than or equal to  $\frac{\pi}{L}$ , then |v| = |w|. It follows that  $\text{Im}(v_1) = \pm \text{Im}(w_1)$ .

If  $\operatorname{Im}(v_1) = \operatorname{Im}(w_1)$ , then either  $v_i = w_i$  for all *i* which implies that the two geodesics coincide or  $\sin(L|v|) = 0 = \sin(L|w|)$ . In this case  $|v| = |w| = \frac{\pi}{L}$ .

If  $\operatorname{Im}(v_1) = -\operatorname{Im}(w_1)$ , then

$$\left(\cos(|v|) + \frac{v_1}{|v|}\sin(|v|)\right)e^{iL\operatorname{Im}(v_1)} = \left(\cos(|v|) - \frac{v_1}{|v|}\sin(|v|)\right).$$

It follows that

$$\frac{\tan(|v|)}{|v|} = \frac{\tan(\operatorname{Im}(v)/2)}{\operatorname{Im}(v)/2}$$

Since  $|v| > \frac{1}{2} \text{Im}(v)$ , we have a contradiction. Therefore, the result from this and Theorem 6.1.

## 8. VOLUME GROWTH ESTIMATES

In this section, we prove a volume growth estimate and the proof of Theorem 1.1 and 1.2. Let  $\Omega$  be the set of points (x, p) in the cotangent space  $T_x^*M$  such that the curve  $t \in [0, 1] \mapsto \psi_t(x, p)$  is a length minimizing. Let

$$\Sigma = \{ p \in \Omega | | p^h | = 1 \text{ and } \epsilon p \in \Omega \text{ for some } \epsilon > 0 \}.$$

For each p in  $\Sigma$ , we let T(p) be the cut time which is the maximal time T such that  $t \in [0, T] \mapsto \psi_t(x, p)$  is length minimizing. Finally, let us denote the ball centered at x of radius R with respect to the sub-Riemannian distance by  $B_R(x)$  and the Riemannian volume form by  $\eta$ .

**Theorem 8.1.** Assume that the Tanaka-Webster curvature  $Rm^*$  of the Sasakian manifold satisfies

(1) 
$$\langle Rm^*(\boldsymbol{J}p^h, p^h)p^h, \boldsymbol{J}p^h \rangle \geq k_1 |p^h|^4,$$
  
(2)  $\sum_{i=1}^{2n-2} \langle Rm^*(w_i, p^h)p^h, w_i \rangle \geq (2n-1)k_2 |p^h|^2,$ 

for some constants  $k_1$  and  $k_2$ , where  $w_1, ..., w_{2n-2}$  is an orthonormal frame of  $span\{p^h, Jp^h, v_0\}^{\perp}$ . Then

$$\int_{B_R(x)} d\eta \le \int_0^{\min\{T(p),R\}} \int_{\Sigma} k(r,z) d\mathfrak{m}(r,z)$$

where (r, z) denotes the cylindrical coordinates defined by  $r = |p^h|$  and  $z = p(v_0)$ ,  $\mathfrak{k}_1(r, z) = z^2 + k_1 r^2$ ,  $\mathfrak{k}_2(r, z) = \frac{1}{4}z^2 + k_2 r^2$ . The function k is

defined by

$$k(r,z) = r^2 \left[ \frac{\sin^{2n-2}(\sqrt{\mathfrak{k}_2})(2-2\cos(\sqrt{\mathfrak{k}_1})-\sqrt{\mathfrak{k}_1}\sin(\sqrt{\mathfrak{k}_1}))}{\mathfrak{k}_1^2 \mathfrak{k}_2^{2n-2}} \right]$$

$$\begin{split} if \, \mathfrak{k}_1 &\geq 0 \ and \, \mathfrak{k}_2 \geq 0, \\ k(r,z) &= r^2 \left[ \frac{\sinh^{2n-2}(\sqrt{-\mathfrak{k}_2})(2-2\cos(\sqrt{\mathfrak{k}_1})-\sqrt{\mathfrak{k}_1}\sin(\sqrt{\mathfrak{k}_1}))}{\mathfrak{k}_1^2 \mathfrak{k}_2^{2n-2}} \right] \end{split}$$

$$if \, \mathbf{\mathfrak{k}}_1 \ge 0 \text{ and } \mathbf{\mathfrak{k}}_2 \le 0,$$

$$k(r, z) = r^2 \left[ \frac{\sin^{2n-2}(\sqrt{\mathbf{\mathfrak{k}}_2})(2 - 2\cosh(\sqrt{-\mathbf{\mathfrak{k}}_1}) + \sqrt{-\mathbf{\mathfrak{k}}_1}\sinh(\sqrt{-\mathbf{\mathfrak{k}}_1}))}{\mathbf{\mathfrak{k}}_1^2 \mathbf{\mathfrak{k}}_2^{2n-2}} \right]$$

$$if \, \mathbf{\mathfrak{k}}_1 \le 0 \text{ and } \mathbf{\mathfrak{k}}_2 \ge 0,$$

$$k(r,z) = r^2 \left[ \frac{\sinh^{2n-2}(\sqrt{-\mathfrak{k}_2})(2-2\cosh(\sqrt{-\mathfrak{k}_1}) + \sqrt{-\mathfrak{k}_1}\sinh(\sqrt{-\mathfrak{k}_1}))}{\mathfrak{k}_1^2 \mathfrak{k}_2^{2n-2}} \right]$$

if 
$$\mathfrak{k}_1 \leq 0$$
 and  $\mathfrak{k}_2 \leq 0$ .

*Proof.* We use the same notations as in the proof of Theorem 6.1.

Let  $\rho_t: T_x^*M \to \mathbb{R}$  be the function defined by  $\psi_t^*\eta = \rho_t \mathfrak{m}$ . It follows from Theorem 4.3 that

(8.1) 
$$\rho_t = |p^h|^2 |\det B(t)|.$$

Next, we replace the matrix R(t) in (6.2) by  $R^{k_1,k_2}$  and denote the solutions by  $A^{k_1,k_2}(t)$  and  $B^{k_1,k_2}(t)$ . Then

$$\frac{\frac{d}{dt}\det B(t)}{\det B(t)} = -\mathrm{tr}(S(t)C_2) \le -\mathrm{tr}(S^{k_1,k_2}(t)C_2) = \frac{\frac{d}{dt}\det B^{k_1,k_2}(t)}{\det B^{k_1,k_2}(t)}.$$

It follows that  $\frac{\det B(t)}{\det B^{k_1,k_2}(t)}$  is non-increasing.

It follows that from the proof of Theorem 6.1 that . (=()

$$\int_{B_R(x)} d\eta = \int_{\Sigma} \int_0^{\min\{T(p),R\}} \rho_t d\mathfrak{m}$$
  
$$\leq \int_{\Omega_R} |p^h|^2 \left[ \frac{\sin^{2n-2}(\sqrt{\mathfrak{k}_2})(2-2\cos(\sqrt{\mathfrak{k}_1})-\sqrt{\mathfrak{k}_1}\sin(\sqrt{\mathfrak{k}_1}))}{\mathfrak{k}_1^2 \mathfrak{k}_2^{2n-2}} \right] d\mathfrak{m}(p).$$

Proof of Theorem 1.1 and 1.2. By the proof of Theorem 6.1 and Theorem 7.3, the volume of sub-Riemannian ball of radius R in the Complex Hopf fibration is given by

$$\int_{\Omega_R} |p^h|^2 \left[ \frac{\sin^{2n-2}(\sqrt{\mathfrak{k}_2})(2-2\cos(\sqrt{\mathfrak{k}_1})-\sqrt{\mathfrak{k}_1}\sin(\sqrt{\mathfrak{k}_1}))}{\mathfrak{k}_1^2 \mathfrak{k}_2^{2n-2}} \right] d\mathfrak{m}(p).$$
  
Therefore, the result follows from 8.1.

Therefore, the result follows from 8.1.

#### 9. LAPLACIAN COMPARISON THEOREM

In this section, we define a version of Hessian following [1] and prove Theorem 1.3.

Let  $f: M \to \mathbb{R}$  be a smooth function. The graph G of the differential df defines a sub-manifold of the manifold  $T^*M$ . Let v be a tangent vector in  $T_x M$ . Then there is a vector X in the tangent space of G at  $df_x$  such that  $\pi_*(X) = v$ , where  $\pi: T^*M \to M$  is the projection. The sub-Riemannian Hessian **Hess** f at x is defined by **Hess**  $f(v) = X_{\mathcal{V}}$ . Recall that  $X_{\mathcal{V}}$  is the component of X in  $\mathcal{V}$  with respect to the splitting  $TT^*M = \mathcal{V} \oplus \mathcal{H}.$ 

Lemma 9.1. Under the identification in Theorem 4.2, the sub-Riemannian Hessian is given by

- (1) **Hess**  $f(v) = \nabla_v \nabla f$  if v is contained in the orthogonal comple-

- (1) Hess  $f(v) = \nabla_v \nabla f$  if v is contained in the charge that v = 1ment of  $\{\nabla f^h, \mathbf{J}\nabla f, v_0\}$ , (2) Hess  $f(\nabla f^h) = \nabla_{\nabla f^h} \nabla f \frac{1}{2} \langle \nabla f, v_0 \rangle \mathbf{J} \nabla f^h$ , (3) Hess  $f(\mathbf{J} \nabla f) = \nabla_{\mathbf{J} \nabla f} \nabla f \frac{1}{2} \langle \nabla f, v_0 \rangle \nabla f^h + \frac{1}{2} |\nabla f^h|^2 v_0$ , (4) Hess  $f(v) = \nabla_v \nabla f + \frac{|\nabla f|^2}{2} \mathbf{J} \nabla f$  if  $v = |\nabla f^h|^2 v_0 (v_0 f) \nabla f^h$ .

*Proof.* Let  $\{v_0, ..., v_{2n}\}$  be a frame defined as in Lemma 3.7 around a point x. Since  $\pi_*(\vec{h}_i) = v_i$ , we have

$$(df)_*(k_a v_a) = k_a \vec{h}_a + \bar{k}_a \vec{\alpha}_a.$$

It follows that

$$\bar{k}_c + k_a dh_a(\vec{h}_c) = \omega(\vec{h}_c, (df)_*(k_a v_a)) = -dh_c((df)_*(k_a v_a))$$
  
=  $-k_a(v_a v_c f) = -k_a \langle \nabla_{v_a} \nabla f, v_c \rangle - k_a \langle \nabla f, \nabla_{v_a} v_c \rangle.$ 

Therefore, we have the following at x.

$$\begin{aligned} k_i &= -k_a \left\langle \nabla_{v_a} \nabla f, v_i \right\rangle - k_a \left\langle \nabla f, \nabla_{v_a} v_i \right\rangle - k_a dh_a(h_i) \\ &= -k_a \left\langle \nabla_{v_a} \nabla f, v_i \right\rangle - \frac{k_j}{2} \mathbf{J}_{ji} v_0 f - k_0 dh_0(\vec{h}_i) - k_j dh_j(\vec{h}_i) \\ &= -k_a \left\langle \nabla_{v_a} \nabla f, v_i \right\rangle + \frac{k_j}{2} \mathbf{J}_{ji} v_0 f + \frac{k_0}{2} \mathbf{J}_{ik} v_k f \end{aligned}$$

and

$$\begin{split} \bar{k}_0 &= -k_a \left\langle \nabla_{v_a} \nabla f, v_0 \right\rangle - k_i \left\langle \nabla f, \nabla_{v_i} v_0 \right\rangle - k_i dh_i(\vec{h}_0) \\ &= -k_a \left\langle \nabla_{v_a} \nabla f, v_0 \right\rangle + \frac{k_i}{2} \left\langle \mathbf{J} v_i, \nabla f^h \right\rangle - \frac{1}{2} k_i \mathbf{J}_{ij} h_j = -k_a \left\langle \nabla_{v_a} \nabla f, v_0 \right\rangle. \\ &\text{Hence, if } v := k_a v_a \text{ is contained in } \pi_* \mathcal{H}_3, \text{ then} \\ &((df)_* (k_i v_i))_{\mathcal{V}} = -\left(\frac{1}{2} k_j \mathbf{J}_{ji} v_0 f - \frac{(v_0 f)(v_s f) k_s}{2 |\nabla f^h|^2} (v_j f) \mathbf{J}_{ji}\right) \vec{\alpha}_i + \bar{k}_a \vec{\alpha}_a. \end{split}$$

If v is contained in  $\pi_*\mathcal{H}_3$  and the orthogonal complement of  $\nabla f^h$ , then

$$((df)_*(k_iv_i))_{\mathcal{V}} = -\langle \nabla_{k_iv_i} \nabla f, v_a \rangle \,\vec{\alpha}_a.$$

If  $v = \nabla f^h$ , then

$$((df)_*(\nabla f^h))_{\mathcal{V}} = -\left\langle \nabla_{\nabla f^h} \nabla f, v_a \right\rangle \vec{\alpha}_a + \frac{1}{2} \left\langle \mathbf{J} \nabla f^h, v_i \right\rangle \left\langle \nabla f, v_0 \right\rangle \vec{\alpha}_i.$$

If 
$$v = \mathbf{J}\nabla f^h$$
, then  
 $((df)_*(\mathbf{J}\nabla f))_{\mathcal{V}} = [(v_j f)\mathbf{J}_{ji}\vec{h}_i]_{\mathcal{V}} - \langle \nabla_{\mathbf{J}\nabla f}\nabla f, v_0 \rangle \vec{\alpha}_0$   
 $- \langle \nabla_{\mathbf{J}\nabla f}\nabla f, v_i \rangle \vec{\alpha}_i - \frac{v_i f}{2}(v_0 f) \vec{\alpha}_i$   
 $= - \langle \nabla_{\mathbf{J}\nabla f}\nabla f, v_a \rangle \vec{\alpha}_a + \frac{v_i f}{2}(v_0 f) \vec{\alpha}_i - \frac{1}{2} |\nabla f^h|^2 \vec{\alpha}_0.$ 

Finally, if  $v = |\nabla f^h|^2 v_0 - (v_0 f) \nabla f^h$ , then we have

$$((df)_*(v))_{\mathcal{V}} = -\langle \nabla_v \nabla f, v_a \rangle \,\vec{\alpha}_a - \frac{|\nabla f|^2}{2} \langle \mathbf{J} \nabla f, v_i \rangle \,\vec{\alpha}_i.$$

Proof of Theorem 1.3. Let  $f(x) = -\frac{1}{2}d^2(x, x_0)$ . Then the curve  $t \in [0, 1] \mapsto \pi e^{t\vec{H}}(df_x)$  is the geodesic which starts from x and ends at  $x_0$ . Let  $E(t) = (E^1(t), E^2(t), E^3(t)), F(t) = (F^1(t), F^2(t), F^3(t))$  be a canonical frame of the Jacobi curve  $\mathfrak{J}_{(x,df_x)}(t)$ . Let

$$\mathcal{E} = (\mathcal{E}^1, \mathcal{E}^2, \mathcal{E}^3_1, ..., \mathcal{E}^3_{2n-1})^T, \mathcal{F} = (\mathcal{F}^1, \mathcal{F}^2, \mathcal{F}^3_1, ..., \mathcal{F}^3_{2n-1})^T$$

be a symplectic basis of  $T_{(x_0,p)}T^*M$  such that  $\mathcal{E}^i$  is contained in  $\mathcal{V}_i$  and  $\mathcal{F}^i$  is contained in  $\mathcal{H}_i$ , where  $(x_0,p) = e^{1\cdot \vec{H}}(df_x)$ . Let

$$v = (v^1, v^2, v_1^3, \dots, v_{2n-1}^3)^2$$

be a basis of  $T_x M$  such that  $e_*^{t\vec{H}}(df_x)_*(v) = \mathcal{E}$ . Let A(t) and B(t) be matrices such that

$$(df_x)_*(v) = A(t)E(t) + B(t)F(t).$$

By construction, we have B(1) = 0. We can also pick E(t) such that A(1) = I.

By the definition of **Hess** f, we also have

Hess 
$$f(B(0)\pi_*F(0)) =$$
 Hess  $f(v) = A(0)E(0)$ .

Therefore, if we let  $S(t) = B(t)^{-1}A(t)$ , then

Hess 
$$f(\pi_*F(0)) = S(0)E(0)$$
.

A computation as in the proof of Theorem 6.1 shows that

$$\dot{S}(t) - S(t)C_2S(t) + C_1^TS(t) + S(t)C_1 - R(t) = 0.$$

Therefore, by applying similar computation as in the proof of Theorem 6.1 to S(1-t), we obtain estimates for S(0). Since  $\Delta_H f(x) =$  $\operatorname{tr}(C_2S(0))$ , the result follows.

## 10. Appendix I

In this section, we give the proof of various known results in Section 3.

*Proof of Lemma 3.1.* Since the almost contact manifold is normal, we have

$$0 = [\mathbf{J}, \mathbf{J}](v, v_0) + d\alpha_0(v, v_0) = \mathbf{J}^2[v, v_0] - \mathbf{J}[\mathbf{J}v, v_0] = \mathbf{J}\mathcal{L}_{v_0}(\mathbf{J})v.$$

It follows that  $\mathcal{L}_{v_0}(\mathbf{J}) = 0$ .

Since the metric is associated to the almost contact structure,

$$0 = \mathcal{L}_{v_0} \alpha_0(v) = \mathcal{L}_{v_0}(\langle v_0, v \rangle) - \alpha_0([v_0, v])$$
  
=  $\langle \nabla_{v_0} v_0, v \rangle + \langle v_0, \nabla_{v_0} v \rangle - \langle v_0, \nabla_{v_0} v \rangle + \langle v_0, \nabla_v v_0 \rangle$   
=  $\langle \nabla_{v_0} v_0, v \rangle$ .

Since the metric is associated to the almost contact structure and  $\mathcal{L}_{v_0}(\mathbf{J}) = 0$ , we also have

$$\mathcal{L}_{v_0}g(v, \mathbf{J}w) = (\mathcal{L}_{v_o}d\alpha_0)(v, w) = 0.$$

Therefore,  $\mathcal{L}_{v_0}g = 0$  as claimed.

By Lemma 3.7, we have

$$\langle \mathbf{J}(v_j), v_i \rangle = \mathbf{J}_{ji} = 2\Gamma_{ji}^0 = -2 \langle \nabla_{v_j} v_0, v_i \rangle.$$
  
Therefore,  $\mathbf{J} = -2\nabla v_0.$ 

*Proof of Lemma 3.2.* Let  $v_0, v_1, ..., v_{2n}$  be a local frame defined by Lemma 3.6. Then

$$0 = \mathcal{L}_{v_0}(\mathbf{J})(v_i) = [v_0, \mathbf{J}v_i] - \mathbf{J}[v_0, v_i]$$
  
=  $\nabla_{v_0}(\mathbf{J}v_i) - \nabla_{\mathbf{J}v_i}(v_0) - \mathbf{J}\nabla_{v_0}v_i + \mathbf{J}\nabla_{v_i}v_0$   
=  $(\nabla_{v_0}\mathbf{J})v_i - \nabla_{\mathbf{J}v_i}(v_0) + \mathbf{J}\nabla_{v_i}v_0$   
=  $(\nabla_{v_0}\mathbf{J})v_i + \frac{1}{2}\mathbf{J}^2v_i - \frac{1}{2}\mathbf{J}^2v_i = (\nabla_{v_0}\mathbf{J})v_i$ 

Since  $\mathbf{J}v_0 = 0$ ,

$$(\nabla_{v_i}\mathbf{J})v_0 = -\mathbf{J}\nabla_{v_i}v_0 = \frac{1}{2}\mathbf{J}\mathbf{J}v_i = -\frac{1}{2}v_i.$$

Since  $\nabla_{v_0} v_0 = 0$ , we also have  $(\nabla_{v_0} \mathbf{J}) v_0 = -\mathbf{J}(\nabla_{v_0} v_0) = 0$ .

Finally, we need to show  $(\nabla_{v_i} \mathbf{J})v_j = \frac{1}{2}\delta_{ij}v_0$ . First, by the properties of the frame  $v_1, ..., v_n$ , we have

$$\langle (\nabla_{v_i} \mathbf{J}) v_j, v_0 \rangle = - \langle \mathbf{J} v_j, \nabla_{v_i} v_0 \rangle = \frac{1}{2} \langle \mathbf{J} v_j, \mathbf{J} v_i \rangle = \frac{1}{2} \delta_{ij}$$

at x

By normality and properties of the frame  $v_1, ..., v_{2n}$ , we have

$$0 = (\nabla_{\mathbf{J}v_{i}}\mathbf{J})v_{j} - (\nabla_{\mathbf{J}v_{j}}\mathbf{J})v_{i} + \mathbf{J}(\nabla_{v_{j}}\mathbf{J})v_{i} - \mathbf{J}(\nabla_{v_{i}}\mathbf{J})v_{j} + d\alpha_{0}(v_{i}, v_{j})v_{0}.$$
  
It follows from Lemma 3.7 that  
$$0 = \langle (\nabla_{\mathbf{J}v_{i}}\mathbf{J})v_{j}, v_{k} \rangle - \langle (\nabla_{\mathbf{J}v_{j}}\mathbf{J})v_{i}, v_{k} \rangle + \langle \mathbf{J}(\nabla_{v_{j}}\mathbf{J})v_{i}, v_{k} \rangle - \langle \mathbf{J}(\nabla_{v_{i}}\mathbf{J})v_{j}, v_{k} \rangle$$
$$= - \langle (\nabla_{v_{k}}\mathbf{J})\mathbf{J}v_{i}, v_{j} \rangle - \langle (\nabla_{v_{j}}\mathbf{J})v_{k}, \mathbf{J}v_{i} \rangle + \langle (\nabla_{v_{k}}\mathbf{J})\mathbf{J}v_{j}, v_{k} \rangle$$
$$+ \langle (\nabla_{v_{i}}\mathbf{J})v_{k}, \mathbf{J}v_{j} \rangle + \langle \mathbf{J}(\nabla_{v_{j}}\mathbf{J})v_{i}, v_{k} \rangle - \langle \mathbf{J}(\nabla_{v_{k}}\mathbf{J})v_{j}, v_{k} \rangle$$
$$= - \langle (\nabla_{v_{k}}\mathbf{J})\mathbf{J}v_{i}, v_{j} \rangle + \langle (\nabla_{v_{j}}\mathbf{J})\mathbf{J}v_{i}, v_{k} \rangle + \langle \mathbf{J}(\nabla_{v_{k}}\mathbf{J})v_{i}, v_{j} \rangle$$
$$- \langle (\nabla_{v_{i}}\mathbf{J})\mathbf{J}v_{j}, v_{k} \rangle + \langle \mathbf{J}(\nabla_{v_{j}}\mathbf{J})v_{i}, v_{k} \rangle - \langle \mathbf{J}(\nabla_{v_{i}}\mathbf{J})v_{j}, v_{k} \rangle.$$

Since  $\mathbf{J}^2 v_j = -v_j$ , we also have  $\langle (\nabla_{v_i} \mathbf{J}) \mathbf{J} v_j, v_k \rangle = - \langle \mathbf{J} (\nabla_{v_i} \mathbf{J}) v_j, v_k \rangle$ . Therefore, the above equation simplifies to

$$0 = -2 \left\langle (\nabla_{v_k} \mathbf{J}) v_i, \mathbf{J} v_j \right\rangle.$$

*Proof of 3.3.* Since the manifold is Sasakian, we have

$$\operatorname{Rm}(X,Y)v_{0} = \nabla_{X}\nabla_{Y}v_{0} - \nabla_{Y}\nabla_{X}v_{0} - \nabla_{[X,Y]}v_{0}$$
$$= \frac{1}{2}(-\nabla_{X}(\mathbf{J}(Y)) + \nabla_{Y}(\mathbf{J}(X)) + \mathbf{J}[X,Y])$$
$$= \frac{1}{2}(-\nabla_{X}\mathbf{J}(Y) + \nabla_{Y}\mathbf{J}(X))$$
$$= \frac{1}{4}\alpha_{0}(Y)X - \frac{1}{4}\alpha_{0}(X)Y.$$

*Proof of 3.4.* Let  $\nabla^*$  be the Tanaka connection defined by

$$\nabla_X^* Y = \nabla_X Y + \alpha_0(X) \mathbf{J} Y - \alpha_0(Y) \nabla_X v_0 + \nabla_X \alpha_0(Y) v_0$$

Assume that X and Y are horizontal. Then

$$\nabla_X^* Y = \nabla_X Y - \langle \nabla_X Y, v_0 \rangle v_0.$$

Therefore,

$$\nabla_X^* \nabla_Y^* Z = \nabla_X (\nabla_Y Z - \langle \nabla_Y Z, v_0 \rangle v_0) - \langle \nabla_X (\nabla_Y Z - \langle \nabla_Y Z, v_0 \rangle v_0), v_0 \rangle v_0$$
  
=  $\nabla_X \nabla_Y Z - \langle \nabla_X \nabla_Y Z, v_0 \rangle v_0 - \langle \nabla_Y Z, v_0 \rangle \nabla_X v_0$ 

Let  $\mathrm{Rm}^*$  be the curvature corresponding to  $\nabla^*.$  Assume that X,Y,Z are horizontal. Then

$$\operatorname{Rm}^{*}(X,Y)Z = \nabla_{X}^{*}\nabla_{Y}^{*}Z - \nabla_{Y}^{*}\nabla_{X}^{*}Z - \nabla_{[X,Y]}^{*}Z$$
$$= \nabla_{X}\nabla_{Y}Z - \langle \nabla_{X}\nabla_{Y}Z, v_{0}\rangle v_{0} - \langle \nabla_{Y}Z, v_{0}\rangle \nabla_{X}v_{0} - \nabla_{Y}\nabla_{X}Z$$
$$+ \langle \nabla_{Y}\nabla_{X}Z, v_{0}\rangle v_{0} + \langle \nabla_{X}Z, v_{0}\rangle \nabla_{Y}v_{0} - \nabla_{[X,Y]}Z + \langle \nabla_{[X,Y]}Z, v_{0}\rangle v_{0}$$
$$= (\operatorname{Rm}(X,Y)Z)^{h} + \langle Z, \nabla_{Y}v_{0}\rangle \nabla_{X}v_{0} - \langle Z, \nabla_{X}v_{0}\rangle \nabla_{Y}v_{0}.$$

#### 11. Appendix II

This section is devoted to the proof of Lemma 4.4 and 5.3.

Proof of Lemma 4.4. By the definition of  $\vec{h}_a$ , we have  $\pi_*(\vec{h}_a) = v_a$ . Therefore, the first relation follows. The second relation follows from  $\pi_*\vec{\alpha}_a = 0$ .

Let  $\theta$  be the tautological 1-form defined by  $\theta = p_a dx_a$ . Note that  $\theta(\vec{h}_a) = h_a$  and  $\omega = d\theta$ . The third relation follows from

$$\begin{split} dh_b(\vec{h}_a) &= d\theta(\vec{h}_a, \vec{h}_b) \\ &= \vec{h}_a(\theta(\vec{h}_b)) - \vec{h}_b(\theta(\vec{h}_a)) - \theta([\vec{h}_a, \vec{h}_b]) \\ &= 2dh_b(\vec{h}_a) - (\Gamma^c_{ab} - \Gamma^c_{ba})h_c. \end{split}$$

It is clear that  $[\vec{\alpha}_a, \vec{h}_b]$  is vertical. The fourth relation follows from

$$dh_c([\vec{\alpha}_a, \vec{h}_b]) = \vec{\alpha}_a(dh_c(\vec{h}_b)) = (\Gamma^d_{bc} - \Gamma^d_{cb})dh_d(\vec{\alpha}_a) = \Gamma^a_{cb} - \Gamma^a_{bc}$$

The fifth and sixth relations follow from the fourth one and  $\vec{H} = h_i \vec{h}_i$ . The seventh follows from the third.

The eighth relation follows from the fifth and the sixth. Indeed,

$$\begin{aligned} [\dot{H}, [\dot{H}, \vec{\alpha}_0]] &= -\dot{H}(h_j \mathbf{J}_{jk}) \vec{\alpha}_k - h_j \mathbf{J}_{jk} [\dot{H}, \vec{\alpha}_k] \\ &= -h_l dh_j (\vec{h}_l) \mathbf{J}_{jk} \vec{\alpha}_k - h_l h_j (v_l \mathbf{J}_{jk}) \vec{\alpha}_k - h_j \mathbf{J}_{jk} \left( \vec{h}_k + h_l (\Gamma_{al}^k - \Gamma_{la}^k) \vec{\alpha}_a \right) \\ &= -h_l h_j \Gamma_{ls}^j \mathbf{J}_{sk} \vec{\alpha}_k + h_0 h_k \vec{\alpha}_k - h_l h_j (\Gamma_{lj}^s \mathbf{J}_{sk} + \Gamma_{lk}^s \mathbf{J}_{js}) \vec{\alpha}_k \\ &- h_j \mathbf{J}_{jk} \vec{h}_k - H \vec{\alpha}_0 - h_j h_l \Gamma_{0l}^k \mathbf{J}_{jk} \vec{\alpha}_0 - h_j h_l \mathbf{J}_{js} (\Gamma_{kl}^s - \Gamma_{lk}^s) \vec{\alpha}_k \\ &= h_0 h_k \vec{\alpha}_k - h_j \mathbf{J}_{jk} \vec{h}_k - H \vec{\alpha}_0 - h_j h_l \Gamma_{0l}^k \mathbf{J}_{jk} \vec{\alpha}_0 - h_j h_l \mathbf{J}_{js} \Gamma_{kl}^s \vec{\alpha}_k. \end{aligned}$$

By the fifth relation, we have

 $[\vec{H}, [\vec{H}, \vec{\alpha}_i]] = [\vec{H}, \vec{h}_i] + h_j (\Gamma^i_{kj} - \Gamma^i_{jk}) \vec{h}_k \pmod{\text{vertical}}$ when  $i \neq 0$ .

Since 
$$\pi_*[h_j, h_k] = [v_j, v_k]$$
, the above equation becomes  
 $[\vec{H}, [\vec{H}, \vec{\alpha}_i]]$   
 $= h_l(\Gamma_{li}^a - \Gamma_{il}^a)\vec{h}_a - h_a(\Gamma_{ik}^a - \Gamma_{ki}^a)\vec{h}_k + h_l(\Gamma_{kl}^i - \Gamma_{lk}^i)\vec{h}_k \pmod{\text{vertical}}$   
 $= 2h_l\Gamma_{li}^k\vec{h}_k + h_l\mathbf{J}_{li}\vec{h}_0 - h_0\mathbf{J}_{ik}\vec{h}_k \pmod{\text{vertical}}.$   
Finally, by the sixth relation, we have  
 $[\vec{H}, [\vec{H}, [\vec{H}, \vec{\alpha}_0]]]$   
 $= -2\vec{H}(h_j\mathbf{J}_{jk})\vec{h}_k - h_j\mathbf{J}_{jk}[\vec{H}, [\vec{H}, \vec{\alpha}_k]](\text{mod vertical})$   
 $= -2h_ldh_j(\vec{h}_l)\mathbf{J}_{jk}\vec{h}_k - 2h_lh_j(v_l\mathbf{J}_{jk})\vec{h}_k$   
 $- 2h_lh_j\mathbf{J}_{jk}\Gamma_{lik}^i\vec{h}_i - 2H\vec{h}_0 - h_0\vec{H}(\text{mod vertical})$   
 $= -2h_ih_l\Gamma_{lj}^i\mathbf{J}_{jk}\vec{h}_k - 2h_lh_j(v_l\mathbf{J}_{jk})\vec{h}_k$   
 $- 2h_lh_j\mathbf{J}_{jk}\Gamma_{lik}^i\vec{h}_i - 2H\vec{h}_0 + h_0\vec{H}(\text{mod vertical})$   
 $= -2h_lh_j(\mathbf{J}_{ik}\Gamma_{li}^j + \mathbf{J}_{ji}\Gamma_{li}^k + v_l\mathbf{J}_{jk})\vec{h}_k - 2H\vec{h}_0 + h_0\vec{H}(\text{mod vertical})$   
 $= -2h_lh_j(\mathbf{J}_{ik}\Gamma_{li}^j + \mathbf{J}_{ji}\Gamma_{li}^k + v_l\mathbf{J}_{jk})\vec{h}_k - 2H\vec{h}_0 + h_0\vec{H}(\text{mod vertical})$   
Since the manifold is Sasakian, we have

$$[\vec{H}, [\vec{H}, [\vec{H}, \vec{\alpha}_0]]] = -2H\vec{h}_0 + h_0\vec{H} \pmod{\operatorname{vertical}}.$$

Proof of Lemma 5.3. Since  $\pi_* \vec{h}_j = v_j$ ,  $[\vec{h}_k, \vec{h}_i]$  is of the form  $[\vec{h}_k, \vec{h}_i] = (\Gamma^a_{ki} - \Gamma^a_{ik})\vec{h}_a + b^a_{ki}\vec{\alpha}_a = \mathbf{J}_{ki}\vec{h}_0 + b^a_{ki}\vec{\alpha}_a$ 

at x. By applying both sides by  $dh_l$ , we obtain

$$\begin{aligned} &-b_{ki}^{0} = dh_{0}[\vec{h}_{k},\vec{h}_{i}] \\ &= \vec{h}_{k}(dh_{0}(\vec{h}_{i})) - \vec{h}_{i}(dh_{0}(\vec{h}_{k})) \\ &= \vec{h}_{k}[(\Gamma_{i0}^{s} - \Gamma_{0i}^{s})h_{s}] - \vec{h}_{i}[(\Gamma_{k0}^{s} - \Gamma_{0k}^{s})h_{s}] \\ &= h_{0}[\mathbf{J}_{ks}\mathbf{J}_{is} - \mathbf{J}_{is}\mathbf{J}_{ks}] + h_{s}[v_{k}(\Gamma_{i0}^{s} - \Gamma_{0i}^{s}) - v_{i}(\Gamma_{k0}^{s} - \Gamma_{0k}^{s})] \\ &= h_{s}[v_{k}(\Gamma_{i0}^{s} - \Gamma_{0i}^{s}) - v_{i}(\Gamma_{k0}^{s} - \Gamma_{0k}^{s})] = h_{s}[v_{i}\Gamma_{0k}^{s} - v_{k}\Gamma_{0i}^{s}] \end{aligned}$$

and

$$\begin{split} &\frac{1}{2}\mathbf{J}_{ki}\mathbf{J}_{ls}h_{s} - b_{ki}^{l} = dh_{l}[\vec{h}_{k},\vec{h}_{i}] \\ &= \vec{h}_{k}(dh_{l}(\vec{h}_{i})) - \vec{h}_{i}(dh_{l}(\vec{h}_{k})) \\ &= \vec{h}_{k}[(\Gamma_{il}^{a} - \Gamma_{li}^{a})h_{a}] - \vec{h}_{i}[(\Gamma_{kl}^{a} - \Gamma_{lk}^{a})h_{a}] \\ &= -\frac{1}{2}\mathbf{J}_{il}\mathbf{J}_{ks}h_{s} + \frac{1}{2}\mathbf{J}_{kl}\mathbf{J}_{is}h_{s} + h_{s}[v_{k}(\Gamma_{il}^{s} - \Gamma_{li}^{s}) - v_{i}(\Gamma_{kl}^{s} - \Gamma_{lk}^{s})] \end{split}$$

at x.

It also follows that

$$h_k b_{ki}^0 = h_k h_s v_k(\Gamma_{0i}^s),$$

and

$$h_k b_{ki}^l = -h_s h_k [v_k(\Gamma_{il}^s) - v_k(\Gamma_{li}^s) - v_i(\Gamma_{kl}^s)]$$

at x.

Finally,

$$[\vec{H}, \vec{h}_i] = h_k \mathbf{J}_{ki} \vec{h}_0 - h_0 \mathbf{J}_{ik} \vec{h}_k + h_k b^a_{ki} \vec{\alpha}_a.$$

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